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#### Dear Colleagues,

Welcome to the SITC Primer on Tumor Immunology and Cancer Immunotherapy<sup>™</sup> From Biology to Treatment. We are in a pivotal time for this field given the recent FDA approvals of two new immunotherapeutic strategies and evidence for clinical activity with several additional approaches being tested clinically. Increased excitement and success with immunotherapy has been driven by a deeper understanding of the mechanisms by which the immune system can destroy cancer, as well as the resistance mechanisms employed by the tumor that need to be overcome. The long durability of clinical benefit with immune-based therapies is an attractive feature that is not usually seen with most other cancer treatments. As such, immunotherapy is now being viewed as a valuable treatment option and a welcome addition to the armamentarium of cancer therapeutics.

In response to this heightened enthusiasm, the Society for Immunotherapy of Cancer (SITC) has expanded its educational offerings and is pleased to offer this primer for all professionals interested in the field of cancer immunotherapy. The SITC Primer on Tumor Immunology and Cancer Immunotherapy™ From Biology to Treatment is a half-day educational program designed for clinical fellows, practicing oncologists, and other oncology health care professionals who wish to solidify their understanding of recent advances and the biologic basis of tumor immunology and immunotherapy. This program will provide a framework of basic to advanced immunology principles and an understanding of how these concepts are translated into the clinic towards improved patient outcomes.



The SITC cancer immunotherapy primer is relevant for a variety of professionals in the field of oncology and hematology. Whether you are just starting out and need to understand the basic concepts of cancer immunotherapy or if you've been in the field for years but want to gain a better perspective on cancer immunotherapy as a growing field of research, you can benefit from the SITC cancer immunotherapy primer.

On behalf of SITC, I'm excited to welcome everyone to a program that will be highly valuable in advancing our field and training the next generation of cancer immunologists and immunotherapists.

Sincerely,

Thomas F. Gajewski, MD, PhD SITC President

### SITC Profile

The Society for Immunotherapy of Cancer (SITC) was established in 1984 to facilitate the exchange and promotion of scientific information about the use of biological cancer therapies. SITC is a 501(c)(3) not-for-profit organization of medical professionals with a constituency of academic, government, industry, clinical, and basic scientists from around the world. The Society was founded on the belief that new systemic therapeutic treatments would continue to complement chemotherapies and move into the mainstream in the fight against cancer. To aid in this effort, SITC provides intimate channels for the discussion of current clinical trial results and methodologies, as well as means to collaborate on new initiatives in tumor immunology and biological therapy. It is these key interactions and innovations that help advance the progress of cancer research and therapies and lead to better patient outcomes.

#### **Mission Statement**

It is the mission of the Society for Immunotherapy of Cancer to improve cancer patient outcomes by advancing the science, development and application of cancer immunology and immunotherapy through our core values of interaction/integration, innovation, translation and leadership in the field.

### **Core Values**

- Interaction/Integration: Facilitate the exchange of information and education among basic and translational researchers, clinicians, young investigators, societies and groups sharing the mission of SITC
- Innovation: Challenge the thinking and seek the best research in the development of cancer immunotherapy
- Translation: Facilitate the transfer of cancer immunology and immunotherapy research from the bench to the clinic and back
- Leadership: Define what is new and important and effectively communicate it to all relevant stakeholders

### Members and Meeting Attendees

Society membership continues to grow and now includes more than 550 influential leaders and scientists engaged in immunotherapy/ biological therapy of cancer, including academicians, senior researchers, clinicians, students, government representatives, and industry leaders from around the world. SITC's members represent 17 medical specialties and are engaged in research and treatment of at least a dozen types of cancer. With major developments and recent FDA approvals in the field of cancer immunotherapy, the SITC Annual Meeting & Associated Programs attendance is growing as well, attracting over 800 of the brightest minds in the field. Both scientists and clinicians alike from around the globe convene at SITC to share data, hear the most recent advances in the field and find collaboration opportunities.

#### **Disease States Represented by SITC Constituents**

SITC covers the full spectrum of both solid tumors and hematologic malignancies including:

- Breast
- Colorectal
- Head & Neck
- Hepatocellular
- Kidney
- Leukemia
- Lung
- Lymphoma
- Melanoma
- Neuroblastoma
- Ovarian
- Prostate
- Renal Cell

#### **Medical Specialties Represented by SITC Constituents**

- Cell Biology
- Dermatology
- Genetics
- Gynecologic Oncology
- Hematology
- Immunotherapy
- Internal Medicine
- Medical Oncology
- Microbiology
- Molecular Biology
- Pediatric Oncology
- Pharmacology/Toxicology
- Radiation Oncology
- Radiology
- Stem Cell Biology
- Surgical Oncology
- Transplantation

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### Live Educational Events

A major component of the SITC Strategic Plan is an emphasis on providing education and training about the principles and practice of cancer immunotherapy to a broad audience. By continuing with our tradition of facilitating the exchange and promotion of scientific information at our meetings with the aim of expediting the safe transfer of both basic and applied research to the clinical setting, SITC is becoming widely recognized as the leading scientific voice in the field.

#### NEWE SITC Primer on Tumor Immunology and Cancer Immunotherapy™ From Biology to Treatment

Leading into the American Society for Clinical Oncology (ASCO) Annual Meeting June 1, 2012 ~ Chicago, IL

The SITC Primer leading into the American Society for Clinical Oncology (ASCO) Annual Meeting is designed to provide a foundation for understanding core immunology principles as they relate to basic and clinical research in immunology and the immunotherapy of malignancy. It aims to advance successful immune-based treatments provided by practicing oncologists quickly and efficiently in point-of-care patient applications for positive outcomes. Thus, the audience for this half-day educational program includes clinical oncologists and other oncology health care practitioners who wish to solidify their understanding of recent advances and the biologic basis of tumor immunology and immunotherapy.

#### SITC 27th Annual Meeting

#### October 26-28, 2012 ~ Bethesda, MD

The SITC Annual Meeting is a two and a half day educational activity that allows for scientific exchange of the most cutting-edge preclinical and clinical data on immunotherapies and biological therapy of cancer. The program includes dynamic presentations, interactive panel discussions and scientific posters on the most timely topics in cancer immunotherapy as well as vital information on clinical trial design and regulatory issues to advance collaboration and translation of cancer immunotherapies. Session and abstract topics include:

- Adoptive T Cell Transfer and Cell Therapy as Cancer Immunotherapy (CARS)
- Combining Immunotherapy and Other Therapies
- DC Subsets / Cancer Vaccines
- Immunity of Oncolytic Viruses
- Immunotherapy Combinations
- Innate Immunity in Cancer
- Single Cell High Throughput Technologies Immune Monitoring
- T Cell Manufacture and Potency Testing
- T Cell Modulating Strategies
- Targeted Therapies and Anti-Tumor Immunity
- Targeting Immune Suppression
- Therapeutic Monoclonal Antibodies in Cancer
- Tumor Microenvironment
- Tumor Vasculature, Chemokines and Lymphocyte Trafficking to the Tumor

#### NEWIE Professional Development Session

#### October 24, 2012 ~ An Associated Program of the SITC Annual Meeting

This half-day event is intended to educate attendees about relevant career development topics that lead to successful scientific careers in cancer immunotherapy. The intended audience for this educational program includes graduate, medical, and post baccalaureate students; clinical fellows; postdoctoral fellows; assistant professors; and other early career professionals. Topics include: Getting a Grant, Managing The Lab / Preparing For Tenure, and The Business Of Research as well as a panel discussion with professionals from academia, industry and government.

#### SITC Workshop - Focus on the Target: The Tumor Microenvironment

October 24-25, 2012 ~ An Associated Program of the SITC Annual Meeting

The development of cancer has historically been attributed to genomic alterations of normal host cells, with cancer treatments historically targeting the malignant cell itself. It is now clear that tumor growth and development is a complex process that involves both malignant transformation and the influence of normal host cells, including fibroblasts, endothelial cells, lymphocytes, monocytes, and macrophages. The tumor microenvironment has emerged as a critical target for cancer diagnosis, prognosis, and therapy. SITC's two-day workshop on the tumor microenvironment will include presentations from thought leaders in the field, and cover topics from basic tumor

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immunobiology to clinical immunotherapy trials that incorporate agents that modulate the tumor microenvironment. It will end with a presentation of progress on the development of the Immunoscore, an ongoing initiative to promote the incorporation of an analysis of immune infiltrates within primary tumors as part of their standard pathologic evaluation for cancer diagnosis, prognosis, and therapy.

#### SITC Primer on Tumor Immunology and Cancer Immunotherapy™

October 25, 2012 ~ An Associated Program of the SITC Annual Meeting

Understanding of tumor immunobiology has increased dramatically in recent years, leading to the successful development of new immune-based treatment options to improve cancer outcomes. The SITC Primer on Tumor Immunology and Cancer Immunotherapy<sup>™</sup> is designed to provide a foundation for understanding core immunology principles as they relate to basic and clinical research in immunotherapy of cancer. Prominent investigators will summarize central themes and recent research in tumor immunology and cancer immunotherapy. Topics include: Innate Immunity, Dendritic Cells, T Cell Differentiation, Antibody Therapy, and the Tumor Microenvironment as well as Recent Advances in the Clinical Application of Cancer Vaccines, Coinhibition and Costimulation of Immune Cells for Immunotherapy, Adoptive Immunotherapy, and Immune Monitoring in Clinical Trials of Cancer Immunotherapies.

#### "Meet-the-Expert" Breakfast

October 27, 2012 ~ An Associated Program of the SITC Annual Meeting

This breakfast session will focus on unique issues related to the career development of early career scientists. Key leaders in the field will facilitate roundtable discussions on particular areas of interest. Experts will answer questions and lead informal dialogues to help provide guidance and direction. The intended audience for this educational program includes graduate, medical, and post baccalaureate students; clinical fellows; postdoctoral fellows; assistant professors; and other early career professionals. Topics include: Developing Successful Collaborations, Finding Your Niche, Grant Writing, Publishing Papers, Translational Research, Managing a Research Lab, and Work-Life Balance.

## NEWE Online Education

As part of the expanded offerings on the newly redesigned SITC website, SITC intends to offer web-based learning opportunities as part of the "education portal" that is in the final stages of development. Information on the following planned activities will be updated as made available.

#### Webinars

Two webinars are planned for 2012. These programs will be live educational activities that will also be archived on the SITC website for future learning. The intended audience(s) and program topics are currently under development.

#### **Online Courses**

The following two courses are currently under development and are planned to be offered via the SITC website:

- Primer for Clinical Oncologists
- Primer for Immunologists

## NEWIE SITC Initiatives

SITC initiatives are projects that have been developed based on the goals outlined in the SITC Strategic Plan, are designed to promote the Society's mission, and are focused on issues of major importance to the field. The following activities are examples of current and planned SITC Initiatives that are supported by the Society and general contributions to the SITC Trust. For more information about these and other SITC initiatives and collaborations, please inquire with the SITC office at 414-271-2456.

- Cancer Immunotherapy Guidelines Development
- Immunoscore Project
- Professional Development and Merit Awards
- International Collaboration and Outreach
- Immunotherapy Ambassadors Program
- Professional Resource Materials
- Patient Education About Immunotherapy
- Young Investigator Awards and Programs

### **Board of Directors**

#### **OFFICER DIRECTORS**

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**Vice President** Francesco Marincola, MD National Institutes of Health

Immediate Past President Bernard A. Fox, PhD Earle A. Chiles Research Institute

**Secretary/Treasurer** Lisa H. Butterfield, PhD University of Pittsburgh

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Pawel Kalinski, MD, PhD University of Pittsburgh Cancer Institute

Howard Kaufman, MD Rush University Medical Center William J. Murphy, PhD University of California-Davis

A. Karolina Palucka, MD, PhD Baylor Institute for Immunology Research

Antoni Ribas, MD UCLA Medical Center

Padmanee Sharma, MD, PhD MD Anderson Cancer Center

### Executive Staff

Tara Withington, CAE Executive Director

Angela Kilbert Associate Executive Director

Sharon Kayne Chaplock, PhD Director of Education, Meetings, and Publications Jennifer Warren Assistant Director of Communications

Margaret Lecey Membership and Development Manager

Nadine Couto, CMP Senior Meetings Manager Amanda Knack Education Manager

Celeste Stroh Education and Meetings Coordinator

Haley Haas Administrative Coordinator

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#### **Overview**

The Society for Immunotherapy of Cancer invites your support for our organization, its activities, and events by becoming a member. SITC fills its membership with those from industry, academia, and government, serving as clinical and basic scientists and industry representatives. Your contributions as a member can help shape SITC policy as we continue in our efforts to advance the development and application of cancer immunotherapy.

Through membership in SITC, you will be a member of an organization that is actively engaged in facilitating the implementation of timely, cutting-edge translational clinical research in cancer biotherapy.

### **SITC Membership Benefits**

- One year subscription to the Journal of Immunotherapy, an official journal of SITC
- One year, online full-text access to the Journal of Immunotherapy
- Reduction in submission fees and opportunity for rapid publication in SITC's subsection of the open-access *Journal of Translational Medicine*
- Reduction in registration fees for the SITC Annual Meeting and Associated Programs
- Free access to speaker presentations and slide sets from past SITC live events
- Online directory of SITC members
- Access to "Members Only" section of SITC website: www.sitcancer.org
- Eligibility to serve on SITC committees
- Eligibility to serve on SITC Board of Directors (Regular members)
- Discount on SITC enduring materials

#### **Additional Benefits:**

- Access to the best science in the field
- Early access to timely information on what is new and relevant to biological approaches for the treatment of cancer
- Opportunities to participate in and shape discussions that guide progress in the field
- Opportunities to network with colleagues to develop new ideas, establish new collaborations to advance your work, and participate in active scientific exchange
- Access to luminaries in the field, including leading scientists and clinical researchers
- Guidance on relevant and timely issues
- The opportunity to advance your career

#### **Membership Types**

**Regular Membership (\$220 annual dues)** Available to individuals with an MD or PhD in a biological science or the equivalent who are active, bona fide representatives of the international scientific community with a specialty or interest in a field related to the biological therapy of cancer. Regular membership includes the right to vote.

**Affiliate Membership (\$220 annual dues)** Available to individuals active or otherwise interested in the biological therapy of cancer. Affiliate membership does not include the right to vote.

**Scientist-in-Training (Student) Membership (\$50 annual dues)** Available to individuals enrolled in MD or PhD academic programs or those participating in postdoctoral fellowships and residency programs who show a demonstrated interest in biological therapy of cancer. Student membership includes an online only subscription to the *Journal of Immunotherapy*, but does not include the right to vote.

#### Application Requirements

#### **Regular applicants:**

Curriculum Vitae or educational resumé

#### Affiliate applicants:

Business or educational resumé or Curriculum Vitae

#### Scientist-in-Training (Student) applicants:

- Proof of enrollment
- Letter of recommendation or Curriculum Vitae

Please check the members	ship category you are appl te					
Name:						
Academic Degree: (please	circle) MD PhD RN	MS NP Pharn	nD Other:			
Institution/Company:						
Title:		D	ept:			
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Disease state(s) (chec						
<ul><li>Breast</li><li>Colorectal</li></ul>	□ Hepatocellular □ Kidney	□ Lung □ Lymphoma		□ Neuroblastoma □ Ovarian	Renal Cell     Others	
Application Requirem Regular applicants: I will email my CV or ed My CV or educational r Affiliate applicants: I will email my business	ducational resumé to info@ æsumé is enclosed.	@sitcancer.org.	I will ema enrollme	ent to info@sitcancer. of recommendation	mendation or CV and proof o	
info@sitcancer.org. My business or educational resumé is enclosed.			Membership applications are reviewed throughout the year. Applicants will be contacted upon acceptance. Membership is valid from the date dues are paid in full until the end of that calendar yea			
Membership Fee:  Regular/Affiliate (\$220) Check (enclosed) Make VISA MasterCard	checks payable to SITC in			5. bank.		
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Signature:				Da	te:	
	Return this form to: SITC 414-271-2456 • Fax: 414-2	276-3349 • Emai	l: info@sitcan	cer.org • Web: www		

### SITC Primer on Tumor Immunology and Cancer Immunotherapy™ From Biology to Treatment

June 1, 2012 8:00 am - 1:00 pm Conference Center, First Floor, Room 10 ABC Hyatt Regency McCormick Place in Chicago, IL

#### Program Purpose

The SITC Primer on Tumor Immunology and Cancer Immunotherapy™ From Biology to Treatment is designed to provide a foundation for understanding core immunology principles as they relate to basic and clinical research in immunology and the immunotherapy of malignancy. It aims to advance successful immune-based treatments provided by practicing oncologists quickly and efficiently in point-of-care patient applications for positive outcomes.

Prominent investigators will summarize central themes and recent research advances in such areas as innate immunity and inflammation in cancer biology, dendritic cells, T cell function, antibody therapy, and the host-tumor relationship as well as recent advances in the clinical application of cancer vaccines, coinhibition and costimulation of immune cells, and adoptive cellular therapy of cancer. These topics will be addressed in a series of lectures by thought leaders in the field and through interactive question and answer sessions.

#### Organizers

Kim A. Margolin, MD - Seattle Cancer Care Alliance Walter J. Urba, MD, PhD - Earle A. Chiles Research Institute

#### **Intended Audience**

The audience for this half-day educational program is clinical oncologists working directly with patients as well as other oncology health care practitioners who wish to solidify their understanding of recent advances and the biologic basis of tumor immunology and immunotherapy. In addition, other audiences who would find this program of value are basic scientists and clinical investigators (clinicians, researchers, graduate students, postdoctoral fellows, and allied health professionals) from academic institutions, industry, and regulatory agencies.

#### **Program Goals**

- Provide a framework of basic immunology for clinical oncologists and facilitate understanding of more sophisticated principles of tumor immunology and immunotherapy
- Facilitate the translation of cancer immunotherapy research into medical practice by clinical oncologists
- Provide a common terminology and knowledge base for clinical oncologists from all oncology disciplines and sub-specialties
- Review the biology of innate immunity, dendritic cells, T cell differentiation and intracellular signaling, and the tumor microenvironment as related to recent advances in cancer immunotherapies
- Summarize the principles of and recent advances in the application of tumor antigens for immunization, coinhibition and costimulation of immune cells, and adoptive immunotherapy of malignant disease
- Provide the opportunity for dialogue and professional interactions that promote collaboration and scientific exchange

#### **Expected Learner Outcomes**

Upon completion of this program, the participants will be able to:

- Interpret the key principles of tumor immunology and immunotherapy
- Describe the role of tumor biology, antigens, T cell differentiation and signaling, and the host-tumor relationship in cancer immunotherapies
- Discuss recent research and clinical applications of tumor immunization, immune coinhibition and costimulation, adoptive immunotherapy, and biomarkers
- Participate in scientific exchange with colleagues and collaborators on research and application of cancer immunotherapies to improve outcomes of patients with cancer

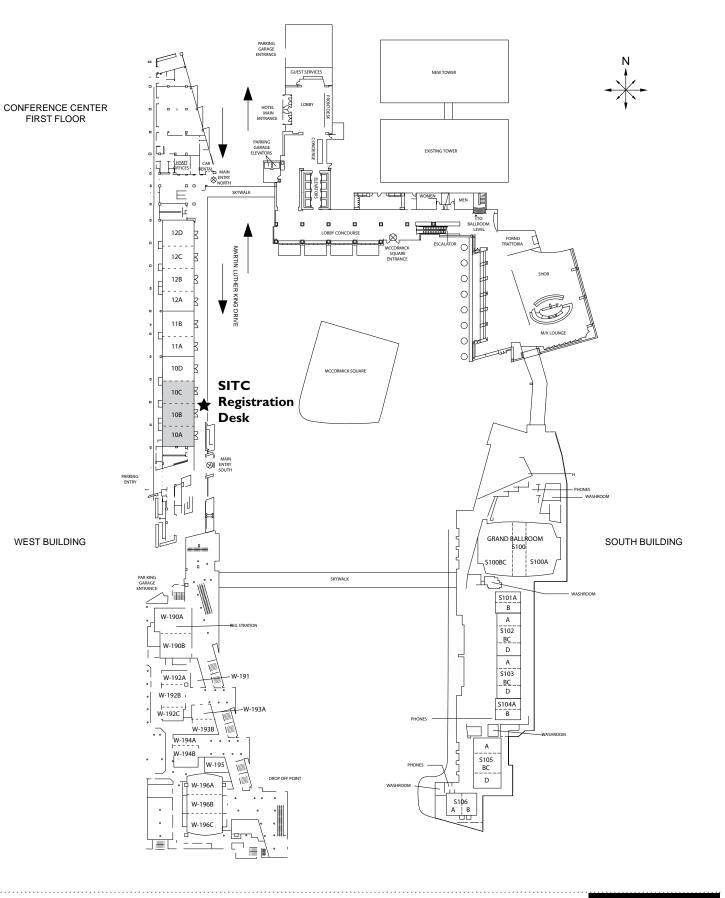
#### Faculty

Laurence J.N. Cooper, MD, PhD - MD Anderson Cancer Center Leisha A. Emens, MD, PhD - Johns Hopkins University Justin P. Kline, MD - University of Chicago Robert J. Kreitman, MD - National Institutes of Health Augusto Ochoa, MD - Louisiana State University Health Sciences Center Drew M. Pardoll, MD, PhD - Johns Hopkins University School of Medicine

## Primer Schedule

As of May 23, 2012	
7:00 am – 8:00 am	Registration and Continental Breakfast
8:00 am – 8:05 am	Welcome and Introductions Kim A. Margolin, MD - Seattle Cancer Care Alliance
8:05 am – 8:40 am	<b>Basic Immunology</b> Justin P. Kline, MD - University of Chicago
8:40 am – 8:45 am	Audience Response/Questions and Answers
8:45 am – 9:20 am	Host Tumor Relationship Augusto Ochoa, MD - Louisiana State University Health Sciences Center
9:20 am – 9:25 am	Audience Response/Questions and Answers
9:25 am – 10:00 am	<b>Tumor Antigens and Cancer Vaccines</b> Leisha A. Emens, MD, PhD <i>- Johns Hopkins University</i>
10:00 am – 10:05 am	Audience Response/Questions and Answers
10:05 am – 10:40 am	Adoptive Immunotherapy with T Cells Laurence J.N. Cooper, MD, PhD - MD Anderson Cancer Center
10:40 am – 10:45 am	Audience Response/Questions and Answers
10:45 am – 11:10 am	Refreshments and Networking
: 0 am –    :45 am	Coinhibition and Costimulation Drew M. Pardoll, MD, PhD - Johns Hopkins University School of Medicine
11:45 am – 11:50 am	Audience Response/Questions and Answers
11:50 am – 12:25 pm	<b>Antibody Based Immunotherapy</b> Robert J. Kreitman, MD - <i>National Institutes of Health</i>
12:25 pm – 12:30 pm	Audience Response/Questions and Answers
12:30 pm – 12:50 pm	Final Questions and Answers
12:50 pm – 1:00 pm	<b>Closing Comments</b> Walter J. Urba, MD, PhD - <i>Earle A. Chiles Research Institute</i>

## Venue Map



#### **Presenter Financial Disclosure Information**

It is the policy of SITC to ensure balance, independence, objectivity and scientific rigor in all educational activities. All presenters participating in any SITC sponsored activity are required to disclose to SITC any real or potential conflict of interest that may have a direct bearing on the subject matter of the activity. This pertains to relationships with pharmaceutical companies, device manufacturers, or other corporations whose products or services are related to the subject matter of the presentation topic.

In assurance of independence, objectivity, and balance, the disclosures are critically reviewed to ensure any conflicts of interest are resolved prior to the educational program taking place.

The disclosures provided are inclusive of all faculty, organizers, and planners for this educational program.

#### Disclosures

Sharon Kayne Chaplock, PhD SITC Staff No relevant financial relationship to disclose

Laurence J.N. Cooper, MD, PhD MD Anderson Cancer Center InCellerate, Inc, Ownership, Founder

Nadine M. Couto, CMP SITC Staff No relevant financial relationship to disclose

Leisha A. Emens, MD, PhD Johns Hopkins University Genentech, Grant, Regional Advisory Board; Roche, Inc, Xeloda in Breast Cancer, Consultant/Advisor; Biosante, Patent Royalty/ Intellectual Property Rights; Bristol-Myers Squibb, Nothing, Advisory Role; US Food and Drug Administration, Nothing, Advisory Committee

Haley Haas SITC Staff No relevant financial relationship to disclose

Angela Kilbert SITC Staff No relevant financial relationship to disclose

Justin P. Kline, MD University of Chicago Genentech, Consulting Fee, Advisory Committee, Bristol-Myers Squibb, Access to Clinical Trials and Patient Samples, Co-Principal Investigator Amanda Knack SITC Staff No relevant financial relationship to disclose Robert J. Kreitman, MD National Institutes of Health Coinventor of Patent for Moxetumomab pasudotox, Royal Payments from the National Institutes of Health, Work for National Institutes of Health

Margaret Lecey SITC Staff No relevant financial relationship to disclose

Kim A. Margolin, MD Seattle Cancer Care Alliance Genentech, Honorarium, Consultant; GlaxoSmithKline, Honorarium, Advisory Board; Bristol-Myers Squibb, Honorarium, Consultant; Hoffman-LaRoche, Honorarium, Consultant; Cell Therapeutics, Consulting fee, Review Regulatory Documents

Augusto Ochoa, MD Louisiana State University Health Sciences Center *No relevant financial relationship to disclose* Drew M. Pardoll, MD, PhD Johns Hopkins University School of Medicine

Amplimmune, Consulting Fee, Consultant; Aduro, Consulting Fee, Consultant; Immune Excite, Consulting Fee, Consultant Celeste Stroh SITC Staff No relevant financial relationship to disclose

Walter J. Urba, MD, PhD Earle A. Chiles Research Institute Bristol-Myers Squibb, Consulting Fee and Honoraria, Independent Contractor, Speaking/ Teaching, Member Advisory Board; DC Prime, Consulting Fee, Scientific Advisory Board; SAIC-Frederick, Consulting Fee, Member Advisory Board; National Cancer Institute, Consulting Fee, Member Advisory Board

Jennifer Warren SITC Staff No relevant financial relationship to disclose

Tara Withington, CAE SITC Staff Executive Director, Inc, Ownership Interest, Employment/Partner

## **Basic Immunology**

Justin P. Kline, MD University of Chicago

Dr. Kline is currently an Assistant Professor of Medicine, Section of Hematology/Oncology at the University of Chicago. He trained as a postdoctoral fellow in the lab of Dr. Thomas Gajewski. His laboratory is interested in studying immune evasion mechanisms operational in pre-clinical models of acute leukemia, and is currently developing a genetic model of acute myeloid leukemia (AML), which will more accurately recapitulate the development of this lethal disease as it occurs in humans. This model and others like it will someday serve to increase our knowledge of the outcome of T cell – tumor cell interactions in vivo. Dr. Kline's clinical interest is in the treatment of hematological malignancies and in stem cell transplantation.

## **Pre-Test Questions**

Basic Immunology

I. Cells of the innate immune system include all listed below, except:

A. Natural killer (NK) cellsB. Dendritic cellsC. B cellsD. Macrophages

2. CD4+T cells are capable of differentiating into all of the subsets below, except:

A. Induced regulatory T cellsB.TH1 cellsC.TH17 cellsD. Cytotoxic T lymphocytes (CTL)

3. The development and regulation of regulatory T cell function is dependent upon which transcription factor?

A.T-bet B. FoxP3 C. ROR-γt D. GATA-3

4. Which of these cytokines is necessary for naïve T cell survival under homeostatic conditioins?

A. IL-7
B. IL-12
C.TGF-β
D. IL-4



The following relationships exist related to this presentation

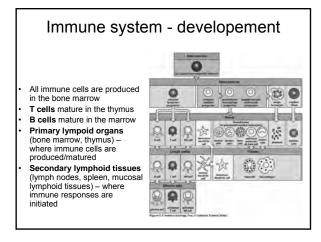
Honoraria/Consulting - Genentech

## Outline

- · Immune system development
- Innate immune system
  - Pattern recognition receptors
  - Dendritic cells
  - NK cells
- Adaptive immune system
  - T cell development/maturation
  - T cell subsets
  - T cell activation/differentiation
  - Regulatory T cellsHomeostatic T cell cytokines
- Cancer immunology brief introduction

### Immunology – basic principles

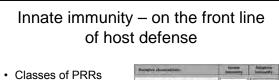
- Attributed to Edward Jenner (late 1700s) - Found that inoculation with cowpox virus conferred protection against smallpox · Coined the term "vaccination"
- · The immune system evolved to provide protection against invasive pathogens
- · Consists of a wide variety of cells and proteins whose purpose is to generate immune responses against micro-organisms
- · Whether the immune system provides active surveillance against malignant cells is debatable



### Immune system – a division of labor

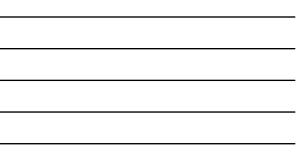
- · Immune system is comprised of:
- Innate immune system
- Adaptive immune system
- Innate immune system
  - Provides initial recognition of self vs non-self
  - Comprised of <u>cells</u> (granulocytes, monocytes, dendritic cells and NK cells) and <u>proteins</u> (complement) Recognize non-self via pathogen-associated molecular patterns (PAMPs)
  - conserved structures (i e. LPS) in microbes
     Pattern recognition receptors (PRRs) expressed on innate immune cells recognize PAMPs
     Necessary for priming adaptive immune responses

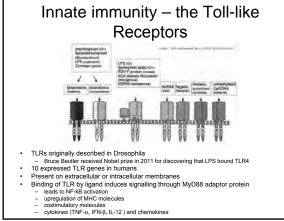
  - Does not provide immunological memory

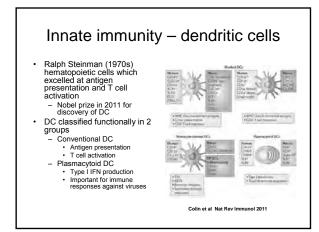


- Toll-like receptors
- NOD proteins
- C-type lectin receptors
- Differential
- expression of PRRs on innate immune cells determines "functionality"

Specificity intermel in the generar	94	Ref.
Expressed by all cells of a particular type	Tes	m
Triggeri immediate response	786	. No
Recognizes broad classes of pethogens	Yes .	. day
Interacts with a range of initiacular structures	Tes	No
Encoded in multiple gans segments	Ro	100
Deputres pana rearrangement	-	Tes
Circui distribution	fir	Tes
Alde to discriminate between oven closely related malecular structures	WA.	Tes







#### Innate immunity - dendritic cells

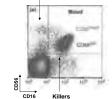
- · DC receive signals through PRRs and other receptors (i.e. CD40) to become activated
  - Activation/licensing of DC results in differentiation
    - Upregulation of MHC
    - Upregulation of costimulatory and cell adhesion molecules
    - Production of pro-inlfammatory cytokines (IL-12, TNF-α, type I FNs
    - Alteration of chemokine receptor expression
    - Migration
  - Only licensed DC will activate naïve T cells
  - Non-licensed DC fail to activate T cells and can induce peripheral tolerance (T cell deletion or anergy)

## Innate immunity – NK cells

- Natural killer cells (NK cells CD3·CD56+CD16+/- lymphocytes) Develop in bone marrow from CLP

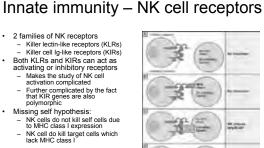
  - CLP Circulate in blood Functionally identified by ability to kill lymphoid tumor cell lines in vitro without need for prior activation \_

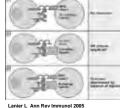
Mechanism of killing – production of cytotoxic granules containing perforin and granzymes



Cytokine production

- Cooper et al Trends Imunol 2004
- Also express Fc receptors -effectors of ADCC Important for early host recognition of infected host cells HSV and Leishmania NK cells are "activated" in response to Type I IFNs, TNF-α and IL-12 control to the total to the total kelle control to the total total total total total kelle control total total total total total total total total kelle control total kelle control total t killing capacity and production of IFN- $\gamma$





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# Innate immunity – NK cells and cancer

- NKG2D C-type lectin receptor on NK cells
  - Recognizes RAE proteins and MICA and MICB
     RAE and MICA/B are MHC class Like molecules
    - RAE and MICA/B are MHC class I-like molecules expressed on virallyinfected cells and some malignant cells
       Recontition of licands by NKG2D on NK cells serves as a "danger" sign
    - Recognition of ligands by NKG2D on NK cells serves as a "danger" signal, resulting in "costimulation" of NK cells
       Leads to brist of travets and production of LEN y.
- Leads to lysis of targets and production of IFN-γ
   KIRs and graft-versus-leukemia effect following allogeneic SCT
  - Donor vs recipient KIR mismatches provided GVL effect and protected from GVHD
     Ruggeri et al Science 2002.
- Other retrospective analyses have confirmed that K R mismatched donor vs host allografts led to decreased risk of AML relapse following alloSCT
  - Ongoing studies are evaluating the efficacy of adoptive KIR-mismatched NK cell therapy in myeloid leukemias

nterest in	NK cell	therapy i	s growin
	Transplant	Graft	Outcome
Ruggeri et al, Science 2002	Haploidenti cal KIR-L mismatch	TCD	Benefit
Davies et al, Blood 2002	URD KIR-L mismatch	UBM	No Benefit
Geibel et al, Blood 2003	URD KIR-L mismatch	In Vivo TCD	Benefit

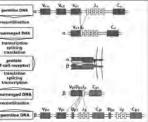
#### Adaptive immunity - lymphocytes

- Adaptive immune system evolved in vertebrates to provide an almost unlimited diversity of antigen receptors to protect the host from infection
- · Comprised of B and T lymphocytes
- Each B and T cell expresses a unique antigen receptor comprised of a combination of variable and constant gene segments

   Diversity (in part) and antigen specificity is provided by Complimentary.
  - Diversity (in part) and antigen specificity is provided by Complimentary Determining Regions (CDR) of the BCR and TCR
     CDR regions are located at the joining segments of the BCR or TCR
     10<sup>s</sup> unique lymphocyte receptors present in humans!
- B cell receptor = antibody recognizes intact extracellular antigens – Proteins/glycoproteins
- T cell receptor recognizes peptides in the context of MHC class I and II proteins
- Because T cells appear to be more important in the response to malignant cells, we will focus our attention here

### Adaptive immunity - T cell development and maturation

- T cells develop in the bone marrow and mature in the thymus
- T cell receptor gene rearrangement occurs in the thymus (RAG prinicple enzyme)
- The TCR is comprised of the TCR- $\alpha$  and TCR- $\beta$  chains
- TCR- $\alpha$  = V $\alpha$ J $\alpha$ C $\alpha$
- TCR-β = VβDβJβCβ
- Successful rearrangement of TCR- $\alpha$  and B chains permits
- 9 survival and is followed by positive and negative selection



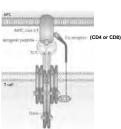
### Adaptive immunity – Thymic selection of T cells

- Thymic T cells with rearranged TCR will undergo both positive and negative selection prior to leaving the thymus as mature, naïve T cells
   Positive selection developing T cell must recognize host MHC (determined by CDR1 and CDR2 regions of the TCR)
   If no MHC binding affinity, T cell undergoes apoptosis

- If no MHC binding affinity, T cell undergoes apoptosis
  Negative selection developing T cell must not recognize MHC:peptide
  with strong affinity
   If yes, then T cell is deleted (apoptosis)
   Mechanism of central (thymic) tolerance assures that strongly auto-reactive T
   cells do not escape the thymus
   Developing T cells exposed to a wide variety of host proteins expressed in the
   thymus via AIRE (autoimmune regulator)
   Transcription factor expressed in thymic medullary stromal cells induced expression
   of tissue-specific proteins
   AIRE mutations lead to severe autoimmune syndromes
- Only a small percentage of thymic T cells ever leave the thymus as mature T cells

## Adaptive immunity – CD4 and CD8 T cell subsets

- 2 main "flavors" of mature T cells CD8<sup>+</sup> T cell CD4<sup>+</sup> T cell
- CD8+ T cells recognize peptide (7-9aa) presented by MHC class I
- Cytosolic antigens (intracellular pathogens and self peptides) are presented by MHC class I and recognized by CD8<sup>+</sup> T cells
- CD4<sup>+</sup> T cells recognize peptide (20aa) presented by MHC class II Exogenous antigens are processed and presented by MHC class II molecules and recognized by CD4+ T cells



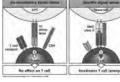
igne et al Nat Rev Immunology 2008

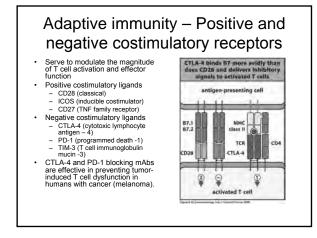
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#### Adaptive immunity - Activation of naïve T cells Naïve T cells survive long-term in absence of encounter with cognate (specific) antigen – Dependent on cytokines (IL-7) and non-specific MHC TCR interactions . APCs deliver three kinds of signals to naive 7 cells Initial T cell encounter with antigen IL-5 IL-12 TGF-0 87.1 87.2 causes – Entry into cell cycle – Production of IL-2 (growth factor) Upregulation of IL-2 (growth factor) Upregulation of IL-2 (exeptor (autocrine toop) Full activation of naïve CD4\* or CD8\*T cell requires (at least) 2 signals provided by APC – MHC/peptide TCR (signal 1) = B7 CD28 (signal 2) = Cytokines (IL-12) (signal 3) Activated T (III) explicit cond causes (2) 0 G Activated T cells proliferate and differentiate into effectors that do not require co-stimulation to act •

### Adaptive immunity - Activation of naïve T cells If a naive T cell receives signal 1 in absence of signal 2.... - Clonal deletion Anergy (non-responsive state)

- Major mechanism of peripheral tolerance
- The maturation state of APC is important
- Quiescent APC poor costimulation → tolerance
- Activated APC strong costimulation → T cell activation





### Adaptive immunity – CD8<sup>+</sup> T cell differentiation and effector function

 Following activation, CD8<sup>+</sup> T cells differentiate into cytotoxic lymphocytes (CTL)

- Functions

- 1) killing via release of cytoplasmic granules containing granzymes and perforin which induce target cell apoptosis
- 2) release of effector cytokines (IFN- $\gamma$ , LT- $\alpha$ , TNF- $\alpha$ )

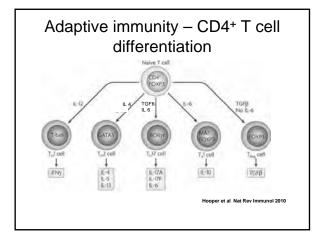
### Adaptive immunity - CD4+ T cells are "helpers" in the immune response

- Similar to CD8+ T cells, activated CD4+ T cells proliferate and acquire effector functions
- Classical functions of CD4<sup>+</sup> T cells include
  - Production of IL-2 to promote proliferation of activated CD8<sup>+</sup> T cells
  - Licensing of dendritic cells through CD40-CD40L interactions
  - Production of effector cytokines (T<sub>H</sub> subtypedependent)
  - ? Lysis of target cells

### Adaptive immunity – CD4<sup>+</sup> T cell differentiation and effector function

- Differentiation pathways for CD4<sup>+</sup> T cells are more complicated than for CD8<sup>+</sup> T cells
  4 subsets of CD4<sup>+</sup> T cells (a.k.a. T<sub>H</sub> cells)

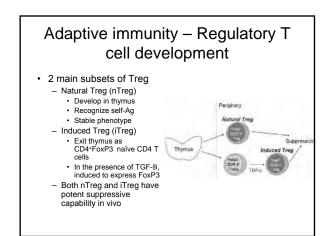
- T<sub>H</sub>1 Typical bacterial infection, viral infection, tumor immunity T<sub>H</sub>2 - allergy
   T<sub>H</sub>17 - gut homeostasis, autoimmunity
- Regulatory T cells (Tregs) suppress conventional T cells, peripheral tolerance
- · Which of these pathways a CD4+ T cells follows depends on
  - Antigen specificity
- Local environment signal 3 received (IL-12, TGF-B, L-6, L-4)
- · Each CD4+ T cell subset acquires a unique effector program (cytokine production) and drives a different type of immune response

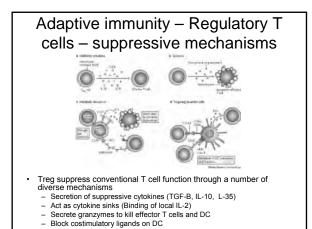




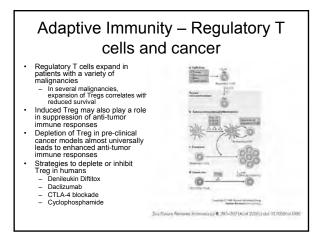
# Adaptive immunity – Regulatory T cells

- Subset of CD4<sup>+</sup> T cells with natural suppressive function
  - Definitively described in 1998 by Sakaguchi and colleagues
  - Immunophenotype: CD4+CD25+FoxP3+
  - Represent ~ 5-10% of the circulating CD4+ T cell population
  - Development and functional program of Tregs is controlled by the FoxP3 transcription factor
    - Necessary for Treg development and maintenance of the functional properties of Treg
  - Mice and humans with mutations of FoxP3 expression develop severe autoimmune disorders
  - Highlights the important role of Treg in maintaining peripheral tolerance







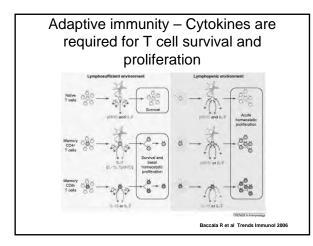


### Adaptive immunity – Naïve and memory T cell homeostasis

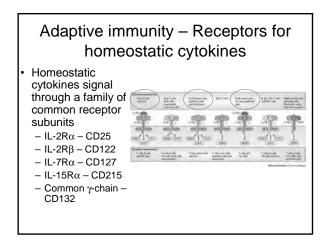
- In absence of infection the size and composition of the peripheral T cell pool remains relatively constant
- Naïve and memory T cells can survive for long periods of time in the host

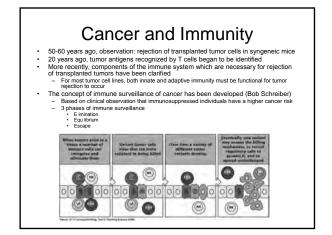
   Slow proliferation balanced by death
- Homeostasis of the T cell compartment depends on:
  - Cytokine signals (IL-7, IL-15)
  - Upregulate pro-survival genes and cell cycle-dependent genes
  - Interaction with self-MHC:peptide

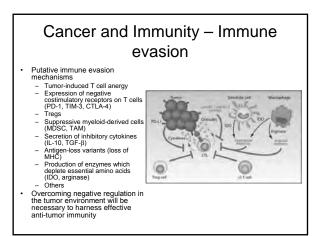
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#### Cancer and Immunity -Immunotherapy: current approaches

- Cancer vaccines
  - Peptide-based
    Cellular-based (i e. DC vaccines)
- Adoptive T cell therapy
   Ex vivo expansion of tumor-infiltrating T cells and infusion into cancer-bearing hosts
  - Tumor Ag-specific TCR transduced T cell therapy
     Chimeric antigen receptor (CAR) adoptive therapy (CD19)
- Immune checkpoint blockade
  - CTLA-4 blockade
  - PD-1 blockade

- PCT invicate
   Reversal of immune evasion
   Treg depletion
   IDO inhibition (1-methyl tryptophan and derivatives)
   Prevention of tumor-induced T cell anergy (lymphodepleted host and adoptive T cell therapy)

## **Host Tumor Relationship**

## Augusto Ochoa, MD Louisiana State University Health Sciences Center

Dr. Augusto Ochoa trained as an MD in his native Colombia (South America) and then went on to complete a residency in Pediatrics and a fellowship in Allergy and Immunology. He did postdoctoral work in basic immunology at the University of Minnesota. Later, he directed the Immunotherapy Laboratory at the Frederick Cancer Center of the National Cancer Institute in Frederick, MD. In 1997, he joined the Louisiana State University Health Sciences Center in New Orleans where he is Professor of Pediatrics, Allergy and Immunology, and the director of the Cancer Center. His research work has been primarily focused on cancer immunology and immunotherapy, with a special interest on the mechanisms down-regulating the immune response in patients. While at the National Institutes of Health, his group made the first observation that T cells from cancer patients had a decreased expression of various signaling molecules, including the T cell receptor, which made them unable to develop a protective response against tumors. His group later identified that these defects were induced by myeloid cell infiltrating the tumors and showed that the primary mediator of this effect was the depletion of the amino-acid L-arginine. These observations have been reproduced by many groups in patients with different types of tumors and remains today as one of the important mechanisms of tumor induced immune suppression.

### **Pre-Test Questions**

Host Tumor Relationship

I. MDSC, Tumor associated macrophages (TAM), and T-regs abnormal immune cells are triggered only by the appearance of cancer.

A.True

B. False

2. CD4+,CD25+,FoxP3+ T cells are:

A. Highly immunosuppressive regulatory T cells

- B. Potent inducers of anti-tumor responses
- C. Mediators of Graft vs Host disease
- D. Irrelevant in cancer because they are deleted in the fetal thymus

3. IL2 induces the activation of myeloid cells producing arginase and IDO.

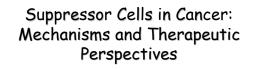
A.True

B. False

4. What are the principal immunosuppressive mechanisms produced by myeloid-derived suppressor cells?

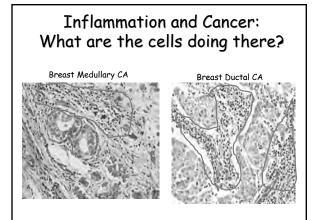
A.TGFb, IL10 and IL17 B. IFNg and IL2 C. Arginase, NO and H2O2 D. IDO

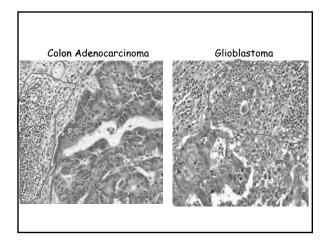
## Faculty ~ Augusto Ochoa, MD

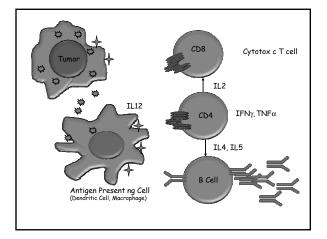


Augusto C Ochoa M D Stanley Scott Cancer Center Louisiana Sate University New Orleans



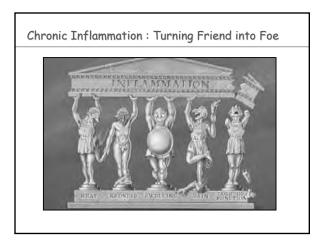


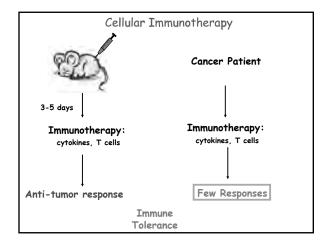




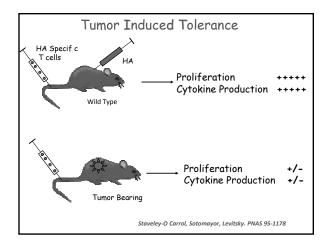


Prevent or Treat	Promote
<ul> <li>At least 20% of cancers have a preventable infectious component: HPV HBV H pylori</li> </ul>	Cancer originates in sites of chronic inflammation" Virchow 1863     Hodgkins disease: Loss of DTH to DNCB and candida (Hersch and Openheim - 1963)
<ul> <li>NK and T cells can eradicate established tumors (renal and melanoma)</li> </ul>	<ul> <li>Melanoma: Decreased cellular response and increased antibody levels. (Hellstrom and Hellstrom - 1968)</li> <li>NSAIDS prevent colon CA</li> </ul>

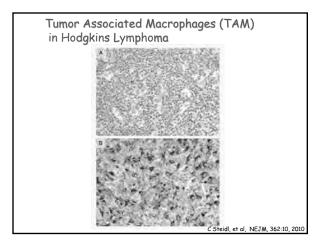




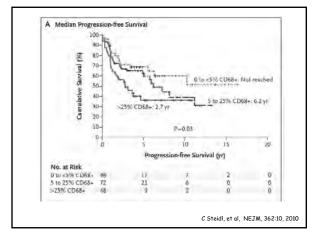




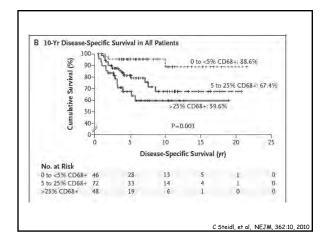




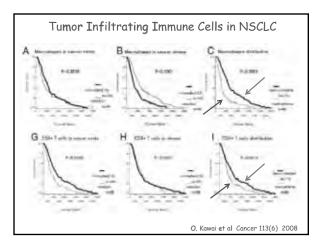






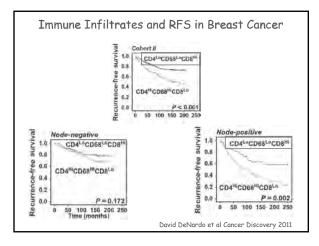








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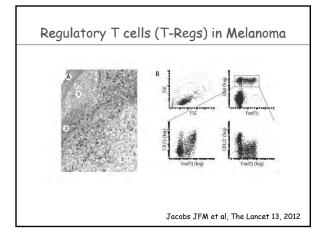
#### Mechanisms of Tumor Escape 1990-2010

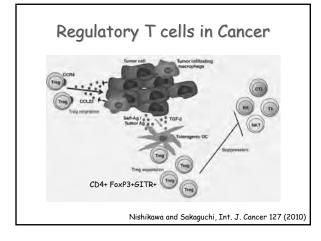
- Intrinsic changes in the tumor

  - Loss of MHC (immuno-editing)
     Lack of co-stimulatory signals B7.1, B7.2
     Expression of checkpoint signals B7H1, B7H4 (CTLA4)
- Factors produced by the tumors

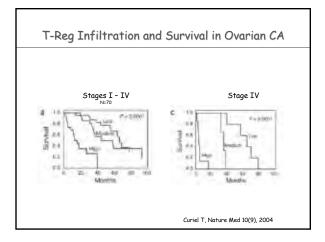
- TGFβ, IL10 ,IL17

- Immunosuppressive cells stimulated by tumors Regulatory T cells (T-regs)
  - Myeloid-derived suppressor cells (MDSC)
  - Tumor Associated Macrophages (TAM)

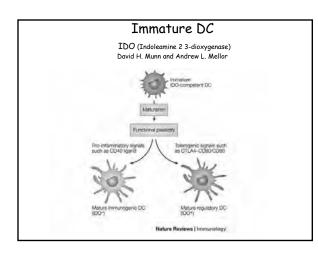






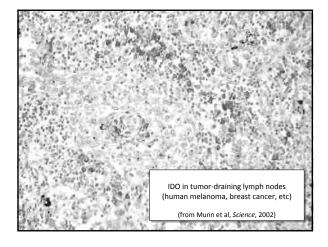




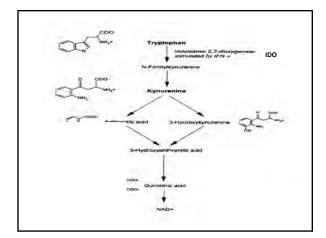




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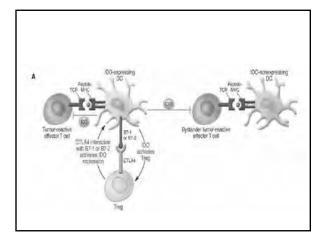






#### Indoleamine 2,3-dioxygenase (IDO)

- IDO is a natural endogenous molecular mechanism of immune suppression
- IDO can create acquired peripheral tolerance *de novo*
- IDO is <u>counter-regulatory</u> (induced by inflammation but suppresses immune responses )
- IDO regulates both innate and adaptive responses • control of local inflammation IL-6 etc
  - suppresses effector T cells activates Tregs





Myeloid-derived Suppressor Cells (MDSC) Tumor Associated Macrophages (TAM)

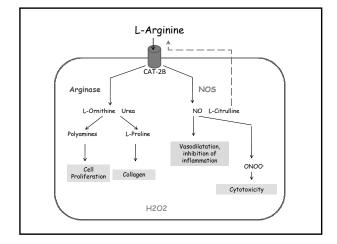
- CD11b+ GR1+ myeloid cells H&N pts (R Young) and tumorbearing mice (D Gabrilovich)
- Immature DC to mature granulocytes
- Increased in Renal Cell Carcinoma (A Zea ) and Pancreatic CA ( O Finn)
- Produce Arginase 1 Nitric Oxide or  $H_2O_2$  (Bronte E Ochoa A Ostrand-Rosenberg S)

	No MDSC	Plus MDSC	Plus non-MDSC	
No Stimuli	33	48.5	88.5	
OVA 257-264	20713	217	23842	
OVA 323-339	17073	164	15159	

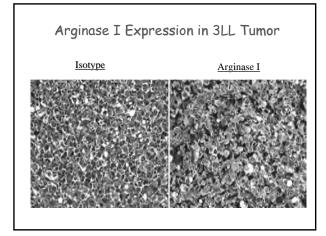


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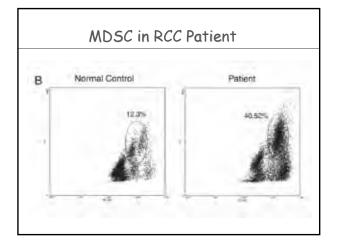
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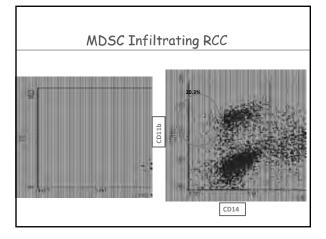




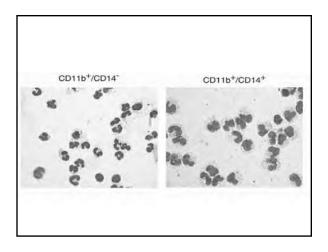




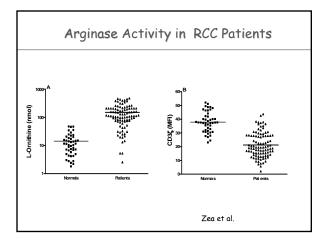




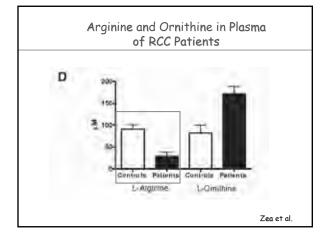




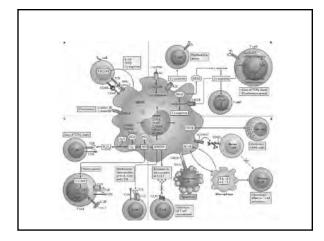










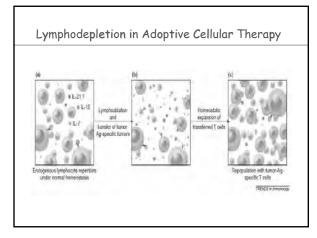


#### Blocking Cellular Immune suppression in Cancer

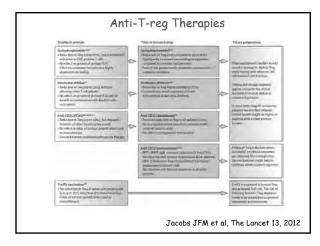
- Lymphodepletion

- Blocking T-regs • Anti-CD25
- Blocking IDO • 1-Methyl-tryptophan
- Blocking MDSC
  - Arginase inhbitors: Nor-NOHA, BEC and ABH D. Christiansen
  - Tyros ne k nase nhibitors Sunitin b J. Finke
    PDE5 inh bitors (Viagra and Cialis): I. Borrello

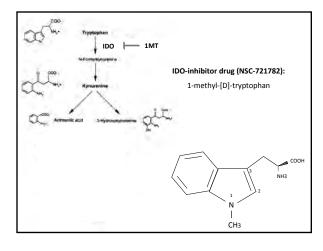
  - NOS2/Arginase inh bitors (nitroasp r n) E. Bronte
  - All-Trans-ret noic acid (ATRA) D. Gabrilovich
  - Anti-CD11b D. Denardo





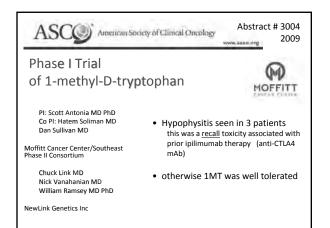




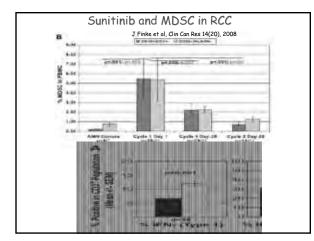




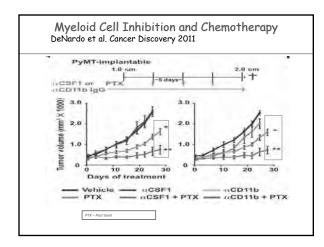
## Faculty ~ Augusto Ochoa, MD



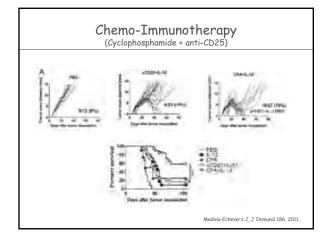




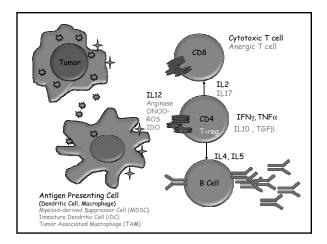












### **Research Question**

- What is the hierarchy and/or sequence of these suppressor mechanisms?
- What are the tumor derived signals that select the type of suppressor cell?
- What is the combination of chemo and immuno therapeutic agents that will block the immunosuppressive cells and induce a therapeutic anti-tumor response?

## **Tumor Antigens and Cancer Vaccines**

### Leisha A. Emens, MD, PhD Johns Hopkins University

Leisha A. Emens, MD, PhD, is an Associate Professor at the Sidney Kimmel Comprehensive Cancer Center at the Johns Hopkins University School of Medicine. She is a medical oncologist who specializes in breast cancer care, and is developing innovative immune-based therapies that incorporates cancer vaccines, standard cancer drugs, and immune checkpoint inhibitors for the treatment of breast cancer and ovarian cancer. Dr. Emens received her BA in Biochemistry and Cell Biology from the University of California at San Diego in 1984. In the Medical Scientist Training Program at Baylor College of Medicine, she received her PhD in Cell Biology in 1993, and her MD in 1995. She completed her internship and residency in internal medicine at the University of Texas at Southwestern Medical School in 1998 and completed fellowship training in medical oncology and hematology at Johns Hopkins University School of Medicine in 2001, when she joined the faculty. Dr. Emens is board-certified in internal medicine, medical oncology, and hematology by the American Board of Internal Medicine. She has received the Johns Hopkins University Clinician Scientist Award, the American Cancer Society Research Scholar Award, the YWCA President's Award, and the Maryland Governor's Citation for her work. She is a member of the American Society of Oncology, the American Association for Cancer Research, the American Society of Gene Therapy, and the Society for the Immunotherapy of Cancer. She is also a member of the editorial board of the *Journal of Clinical Oncology*, and the FDA Advisory Committee on Cellular, Tissue, and Gene Therapies.

### **Pre-Test Questions**

Tumor Antigens and Cancer Vaccines

- 1. The following types of lymphocytes are associated with good prognosis when present in primary human cancers of distinct histologies EXCEPT:
- A. B cells
- B. CD3+CD8+T cells
- C. CD45RO+T cells
- D. T helper type | CD4+T cells

2. The first therapeutic cancer vaccine approved for routine clinical use is based on a basic platform of:

- A. Peptide antigen
- B. Dendritic cells
- C. Autologous tumor cells
- D. Artifical scaffold
- 3. Challenges to the successful development of therapeutic cancer vaccines include:
- A. Immune tolerance
- B. Extent of disease burden
- C. Suboptimal clinical trial design
- D. All of the above

#### **TUMOR ANTIGENS AND VACCINES**

Leisha A. Emens MD, PhD Associate Professor of Oncology Cancer Immunology/Breast Cancer Research Programs Johns Hopkins University School of Medicine

#### **CONFLICT OF INTEREST**

<u>Biosante</u>: Under a licensing agreement between Biosante and Johns Hopkins University, the University is entitled to milestone payments and royalty on sales of the GM-CSFsecreting cell-based vaccine product described in this presenation. The terms of this arrangement are being managed by the Johns Hopkins University in accordance with its conflict of interest policies.

<u>Roche/Genentech</u>: Xeloda Advisory Board, Breast Cancer Advisory Board, Research Funding

Bristol Myers Squibb: Breast Cancer PD-1 Advisory Board

"It is by no means inconceivable that small accumulations of turnour cells may develop, and because of their possession of new antigenic potentialities, provoke an effective immunological reaction with regression of the turnour and no clinical hint of its existence."

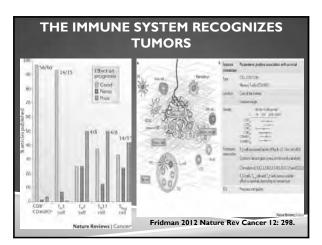
> -Macfarlane Burnet Immunologist, 1957

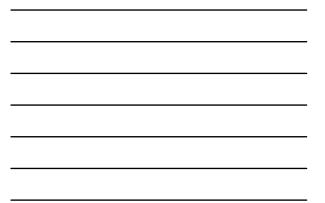
	HUMANS
Site of Cancer*	Ratio of Cases Observed/Expected
Non-melanoma skin	24.7
Thyroid/Endocrine	14.3
Head and Neck	13.8
Cervix/Vulva/Vagina	10.8
Non-Hodgkn's lymphoma	10.3
Kidney/Ureter	9.1
Bladder	5.5
Colorectal	3.6
Lung	2.4
Brain	2.4
Prostate	2.1
Melanoma	1.7
	e in immunosuppressed transplant patients eto 2001 Nature 411: 390.

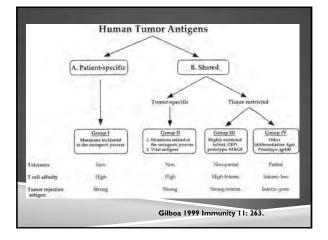


#### CANCER IMMUNOSURVEILLANCE IN IMMUNOCOMPROMISED HUMANS

- ▶400-500X increase in Kaposi's sarcoma (HHV-8)
- 28-49X increased in lymphoproliferative disease, inlcuding Hodgkin's disease (EBV)
- I00X increase in squamous cell vulvar and anal carcinomas (HPV)
- 20-38X increase in hepatocellular carcinoma (HBV and HCV)
- ► 14-16X increase in cervical cancer (HPV)









#### **EXAMPLES OF TUMOR ANTIGENS**

	Type of Cancer Antigen	Examples
	Viral antigens	HPV E6/E7, EBV LMP, HBV, HCV
	Novel cancer antigens	mutated k-ras (pancreas, lung cancers) p53 (many cancers) fusion proteins (bcr-abl in CML) many others
	Overexpressed, nonmutated self proteins	HER-2 (breast and gastric cancers) hTERT (many cancers) Ganglioside GD3 (melanomas)
	Embryonic/oncofetal proteins	NY-ESO-I, MAGE/BAGE/GAGE
	Expression outside immunologically privileged site	Hu, Yo, GAD
	Tissue-specific differentiation antigens	MART-1/melan-A, gp-100, tyrosinase, PSA

### **PREVENTIVE CANCER** ► Gardasil for the prevention of HPV-related cervical cancer

- - ▶ 2<sup>nd</sup> most common cause of cancer in women worldwide
  - ▶ HPV types 6, 11, 16, 18, quadrivalent vaccine of VLPs
  - Prevents 75% of cervical cancers, 70% of vaginal cancers, and 50% of vulvar cancers in girls and young women, and 90% of genital warts in young people
  - HPV-related head and neck cancer?
- HBV vaccines for the prevention of HBV-related liver cancer
   5<sup>th</sup> and 8<sup>th</sup> most common cancer in men and women respectively worldwide
  - Decreased incidence of HCC in children ages 6-9 years from 0.52-0.13 per 100,000

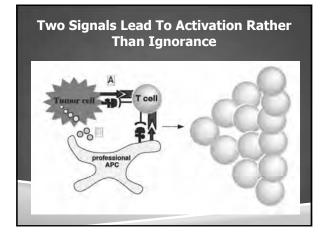
#### THERAPEUTIC CANCER VACCINE

- Siipuleucel-T (Provenge<sup>R</sup>) : First FDA-approved therapeutic cancer vaccine
- Composed of autologous dendritic cells loaded with prostatic acid phosphatase fused with granulocyte-macrophage colony-stimulating factor (PAP-GM-CSF) 2 Given as 3 treatments 2 weeks apart
- 3 Prolongs survival of men with metastatic hormone-refractory prostate cancer by 4.1 months
- 4.Retrospective data show that this is the greatest survival benefit demonstrated for this patient population to date 5.Minimal toxicity
- 6.Expensive (\$100, 000)

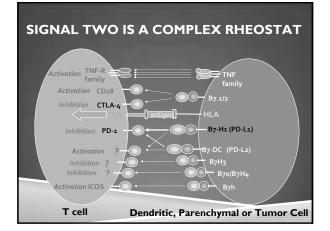
#### SIPULEUCEL-T (PROVENGE<sup>R</sup>): PHASE III IMPACT TRIAL

	Placebo (n=171)	Sipuleucel-T (n=341)	Delta or p-value		
Overall survival	21.7 months	25.8 months	4.1 months		
36-month survival	23.0%	31.7%	+8.7%		
ТТР	14.4 weeks	14.6 weeks	p=0.63		
Relative reduction in risk of death		22%	P=0.03		
Relative reduction in risk of death from prostate cancer		23%	P=0.04		
Kantoff 2010 NEJM 363: 411.					

ном	/ ENDOGENOUS TUMOR ANTIGENS ARE RECOGNIZED









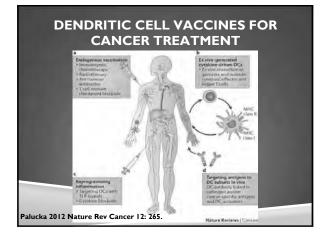
#### **CANCER VACCINE PLATFORMS**

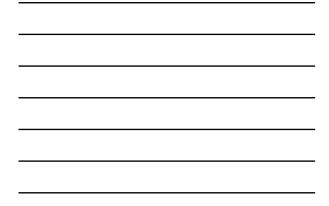
Vaccine Platform	Rationale
Peptide	Sub-dominant/cryptic epitopes elicit immunity to self Ags, given with potent adjuvants to enhance immunogenicity
Protein	Given with potent adjuvants to enhance immunogenicity
Plasmid DNA	Stable transfection of skin/muscle allows Ag presentation
Dendritic cells	Potent antigen-presenting cells present tumor Ags
Viral or Bacterial Vectors	Initiate presentation through MHC Class I to stimulate T cells in the presence of a foreign stimulus/adjuvant
Whole Tumor Cells	Deliver multiple relevant tumor Ags
Engineered scaffold	Deliver optimal tumor Ags and co-stimuli



Vaccine Platform	Immunogenicity	Toxicity	HLA Match Required
Dendritic cells	High	Low	yes
Peptide	Low	Low	yes
Protein	Moderate	Low	no
Plasmid DNA	Low	Low	no
Viral or Bacterial Vectors	High	High	no
Whole Tumor Cells	Moderate	Low	no
Heat Shock Proteins	High	Low	no
Engineered scaffold	High	Low	variable







#### **PEPTIDE VACCINES FOR CANCER** TREATMENT

Issues:

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- 1. Typically weak immunogens and require adjuvants
- 2.Require MHC matching to the patient
- 3.Low toxicity
- 4.Ease and low cost of manufacture 5.Typically induce antigen-specific immunity, clinical responses rare
- 6.Need to activate CD4<sup>+</sup> and CD8<sup>+</sup> T cells—nested MHC Class I and II epitopes, add PADRE to mixture 7.Single or multiple, long or short, alone or in combination, best adjuvant

### **CANCER VACCINE ADJUVANTS**

TLR Agonists	Non-specific Immunomodulators
microbial products	mineral salts, emulsions, microparticles, liposomes
BCG (TLR2, TLR4, NLR2)	Incomplete Freund's adjuvant
Poly I:C and Poly I:C12U (TLR3)	Montanide ISA 51 and 720
LPS (TLR2, TLR4)	Alum, MF59, QS21
Monophosphoryl lipid A (MPLA) ( TLR4)	Keyhole Limpet Hemocyanin (KLH) protein
Imiquimod (TLR7, TLR8)	
CpG ODNs (TLR9)	

#### PHASE III MELANOMA PEPTIDE **VACCINE TRIAL**

- Stage IV or locally advanced Stage III cutaneous melanoma
  HLA-A2-positive
  suitability for IL-2 therapy

	IL-2 Alone (720KIU/kg) (n=94)	gp100 (210M)+ montanide ISA 51 followed by IL-2 (n=91)	p value
Clinical response	6%	16%	p=0.03
Progression-free survival	1.6 months	2.2 months	p=0.008
Overall survival	11.2 months	17.8 months	p=0.06
hwartzentruber 2011 NEJ	M 364: 2119.		

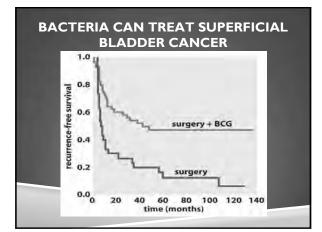
PHASE III PATIENT-SPECIFIC	
<b>IDIOTYPE VACCINE TRIAL FOR</b>	
FOLLICULAR LYMPHOMA	

- chemotherapy-naïve follicular lymphoma in CR after primary chemotherapy bulky (>5 cm) Stage II, III, IV disease lymph node with surface IgG or IgM accessible for biopsy

	KLH+GM-CSF (n=41)	Id-KLH+GM-CSF (n=76)	p value
Progression-free survival	30.6 months	44.2 months	p=0.045
Overall survival	Not reached	Not reached	p=0.696

	PHASE II POX VIRUS-PSA VACCINE TRIAL				
• •	<ul> <li>min symptomatic hormone-refractory metastatic prostate cancer</li> <li>vaccinia virus prime, followed by 6 fowlpox virus boosts</li> <li>PSA antigen+three immune costimulatory molecules (B7.1/ICAM- 1/LFA-3)</li> </ul>				
		Empty vector+ saline (n=40)	PROSTVAC-VF+ GM-CSF (n=82)	p value	
	Progression-free survival	3.7 months	3.8 months	p=0.6	
	Median overall survival	16.6 months	25.1 months	p=0.0061	
Ka	untoff 2010 JCO 28: 1099.				

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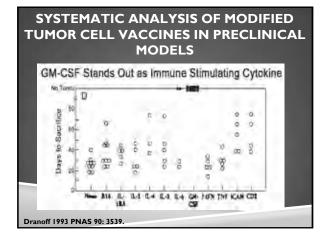




#### WHOLE TUMOR CELLS

#### Issues:

- Lautologous vs. allogeneic vs. dendritic cell fusion
- 2.unmodified vs. modified
- 3.deliver multiple tumor antigens, both known and unknown
- 4.no requirement for HLA match
- 5.expensive, allogeneic not as expensive as patientspecific product
- 6.allogeneic vaccines are generalizable

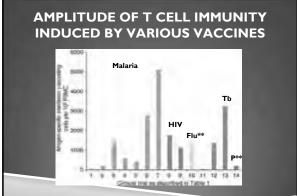




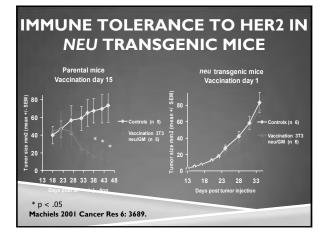
### PHASE III TRIAL OF PROSTATE GVAX

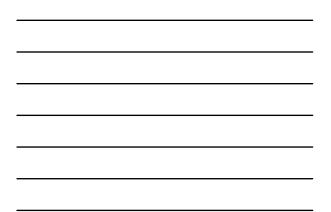
 Taxane-naïve, symptomatic hormone-refractory prostate cancer
 Docetaxel 75 mg/m<sup>2</sup> every 3 weeks plus prednisone 10 mg/day vs. Docetaxel 75 mg/m<sup>2</sup> every 3 weeks plus GVAX 2 days later, then GVAX every 4 weeks (10 cycles chemotherapy given in each arm)

	Docetaxel 75 mg/m <sup>2</sup> +Prednison e (n=204)	GVAX+Docetax el 75 mg/m <sup>2</sup> (n=204)	p value
Deaths	47	67	p=0.03
Overall survival	14.1 months	12.2 months	p=0.0076



Gilbert 2011 Immunology 135: 19.





#### IMMUNE TOLERANCE: A MAJOR BARRIER TO TUMOR IMMUNITY

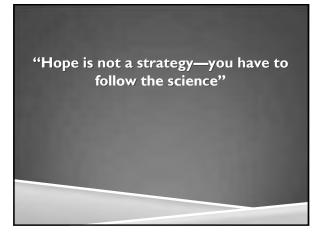
- CD4<sup>+</sup>CD25<sup>+</sup> regulatory T cells
- Myeloid-derived suppressor T cells
- Suboptimal T cell repertoire
- Inadequate positive co-stimulation
- Excessive negative counter-stimulation
- Ineffective T cell trafficking
- Suppressive tumor microenvironment

#### WHAT TO COMBINE WITH IMMUNOTHERAPY?

- Endocrine therapy
- Chemotherapy—dose and schedule key
- Therapeutic tumor-specific monoclonal antibodies
- Tyrosine kinase inhibitors
- Immune checkpoint modulators

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CLINICAL TRIALS OF VACCINES AND TARGETED IMMUNOMODULATORS						
Patient Population	Number of patients	Vaccine	Drug Regimen	Immunologic Outcome		
metastatic melanoma	n=16	MART-1 pulsed autologous DC	Dose escalation tremelimumab (α- CTLA-4)	Low levels of MART-I T cells		
metastatic hormone- refractory prostate cancer	n=28	GM-CSF- secreting prostate tumor cells	Dose escalation ipilimumab (α-CTLA-4)	25% with ≥50% PSA decline; evidence of DC and T cell activation		
refractory, unresectable melanoma	n=676	gp100	Ipilimumab (n=137) Ipilimumab+gp100 (n=403)	Improved overall survival with ipilimumab		
	n early clinical development: %CD-20, 00 PD-1, 00 B7-H1 %CD-20, 00 PD-1,					



## Adoptive Immunotherapy with T Cells

### Laurence J.N. Cooper, MD, PhD MD Anderson Cancer Center

Dr. Laurence Cooper is a tenured Professor at The University of Texas MD Anderson Cancer Center (MDACC), with joint appointments in the Division of Pediatrics and Department of Immunology. He is Section Chief of Cell Therapy at the Children's Cancer Hospital (CCH) at MDACC and additionally serves as director of the institution's Immunology Laboratory of Physician-Scientists. Dr. Cooper earned his medical and doctorate degrees from Case Western Reserve University in Cleveland, Ohio and completed his fellowship in Pediatric Hematology/Oncology at the Fred Hutchinson Cancer Research Center at the University of Washington in Seattle. In 2006, he was recruited to join the CCH at MDACC, where he cares for children undergoing bone marrow transplantation (now known as Cell Therapy) and leads scientific efforts to develop new treatment approaches which pair gene engineering with immunotherapy. Dr. Cooper's research has resulted in him founding a company and in multiple patents. A former National Institutes of Health Research Center Scholar, Scholar of the Sidney Kimmel Foundation for Cancer Research, and Leukemia Society of America Fellow, Dr. Cooper is the principal investigator for numerous initiatives and trials. In 2007, he was elected to membership in the American Society for Clinical Investigation, which honors outstanding physician-scientists. Other tributes paid to Dr. Cooper include the 2010 "Best Boss" award MDACC, 2009 Faculty Scholar Awards MDACC, 2007 Induction into the American Society for Clinical Investigation, 2004 American Society of Gene Therapy Young Investigator Award, and 1999 American Society of Clinical Oncology Young Investigator Award. Dr. Cooper has coauthored dozens of peer-reviewed journal articles, abstracts and book chapters. Since 2006, he has initiated five trials under IND using T cells and NK cells. He is undertaking the first trials using a new approach to gene therapy based upon the Sleeping Beauty transposon system and has helped develop clinical-grade artificial antigen presenting cells for numerically expanding lymphocytes. He combines his clinical duties with research and mentoring to help translate immunology into immunotherapy.

### Pre-Test Questions Adoptive Immunotherapy with T Cells

I. Which one is the major concern in adoptive T cell therapy using TCR gene transduced T cells?

- A. TCR gene transduction efficiency
- B. Graft-versus-host-disease (autoimmune pathology) due to the mis-pairing of introduced with endogenous TCR chains
- C. In vitro expansion of T cells
- D. Detection of introduced TCR gene expressing T cells

2. What advantage(s) is/are there to infusing T cells that express a chimeric antigen receptor (CAR)?

- A. CAR+T cells recognize tumor-associated antigen independent of major histocompatibility complex (MHC)
- B. CAR+T cells exert an anti-tumor effect in clinical trials
- C. CAR+T cells do not distinguish between antigen on normal and malignant cells
- D. A & B

3. Which of the following is true for T cells genetically modified to express CD19+ chimeric antigen receptor?

- A. It targets CD19 on tumor cells only
- B. It targets CD19 on normal B cells only
- C. It targets CD19 irrespective of the cells on which it is expressed
- D. None of the above

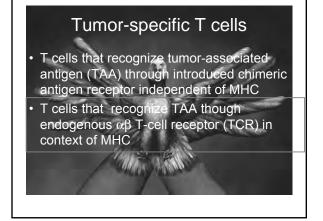
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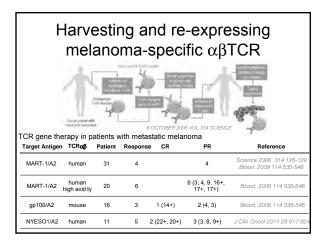
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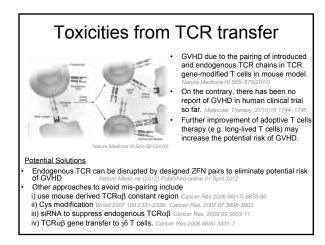
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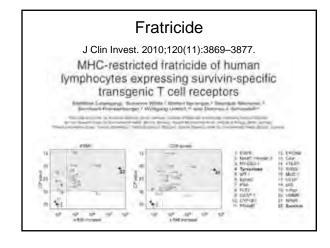
- Combine gene therapy with T-cell therapy to over come issues of immune tolerance
- Use of mouse and humans to harvest desired immune receptors
- Common platforms for the development and release of T cells with redirected specificity



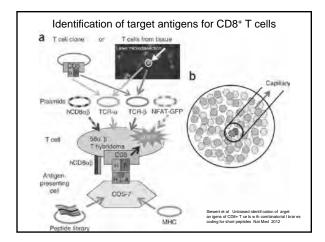




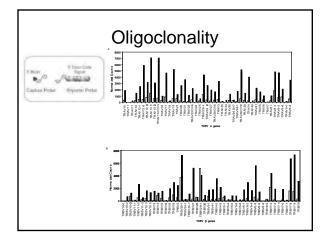




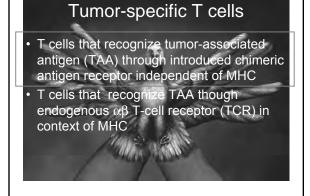






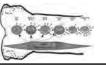




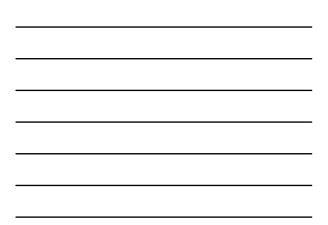


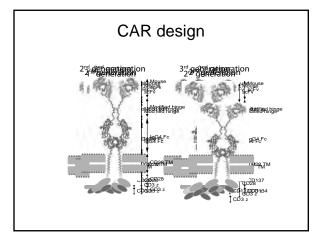


- CD19 antigen is a 95 kDa B lineage-specific membrane glycoprotein, found on >95% of B-cell con lymphomas and B-ALL cells;
- CD19 is rarely lost during the process of neoplastic transformation, but disappears upon differentiation to mature plasma cells;
- CD19 is not expressed on hematopoietic stem cells, nor on normal tissues outside the B lineage;
- · CD19 is not shed into the circulation.

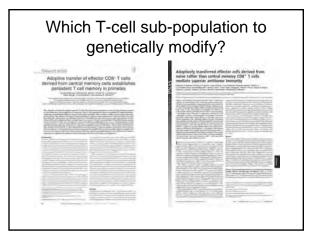


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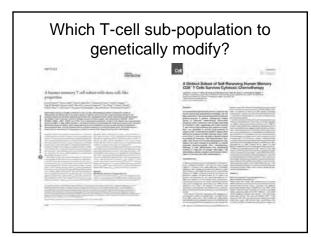




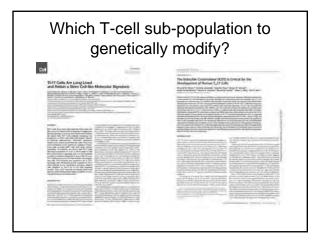




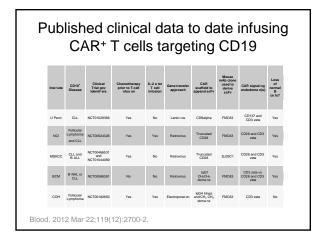




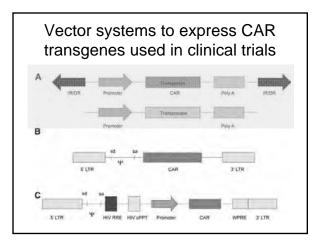




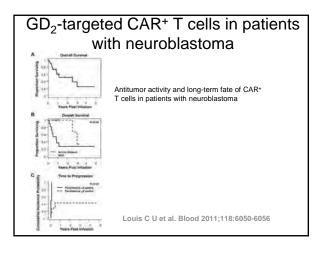


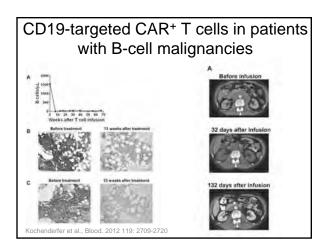


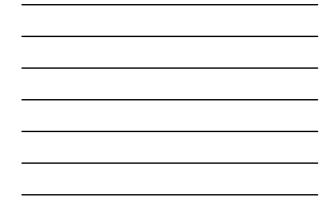


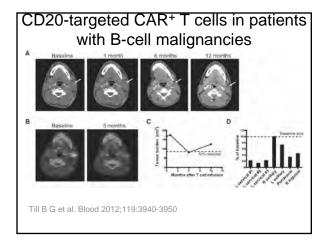




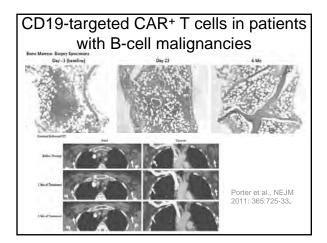




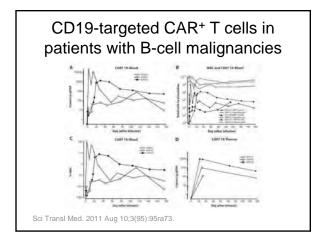




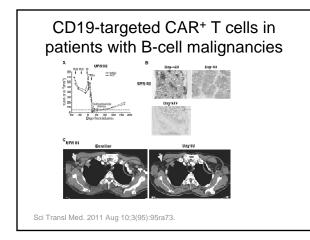


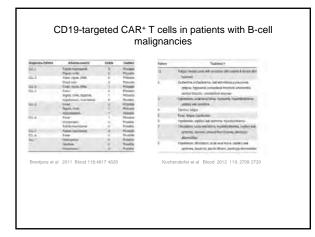




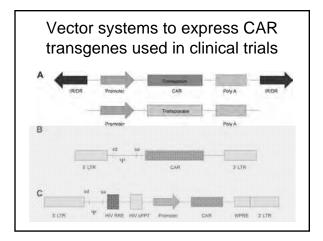




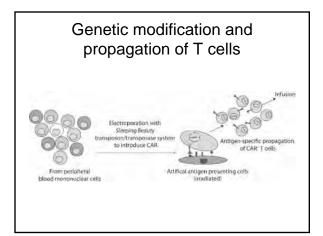




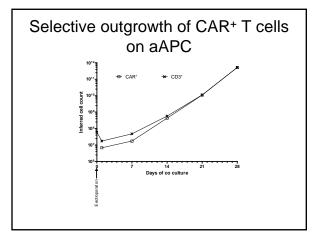








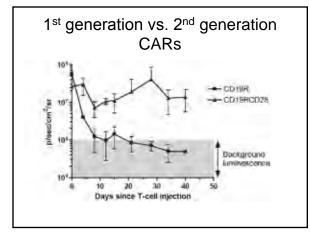


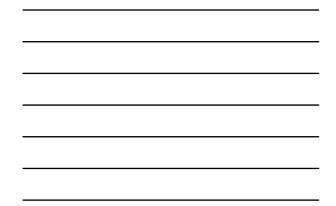


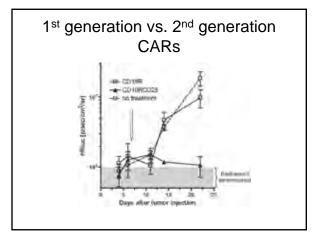


### Mouse models - predictive?

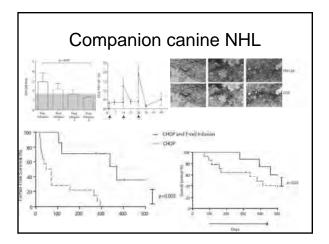
- Infuse human CAR<sup>+</sup> T cells into NOD/Scid/IL-2Rγ<sup>/-</sup> (NSG) mice
- Does this predict for toxicity or potency?



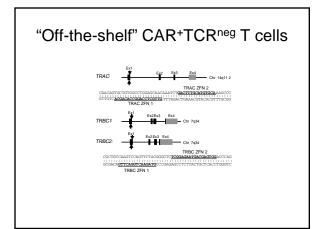




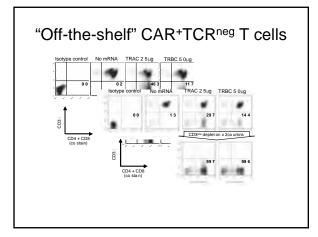




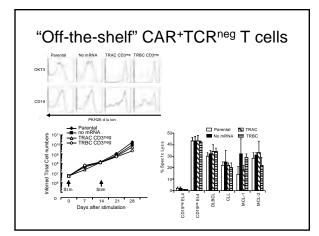














### Future approach to clinical trials

- Use of DNA vectors affords opportunity to change CAR design
- aAPC may be useful for propagating subsets of genetically modified T cells
- · Off-the-shelf T cells
- Role of iCaspase9 co-expressed with CAR





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## **Coinhibition and Costimulation**

### Drew M. Pardoll, MD, PhD Johns Hopkins University School of Medicine

Dr. Pardoll is an Abeloff Professor of Oncology, Medicine, Pathology and Molecular Biology and Genetics at the Johns Hopkins University School of Medicine. He is Director of the Cancer Immunology and Hematopoiesis Program in the Sidney Kimmel Comprehensive Cancer Center. Dr. Pardoll completed his MD, PhD Medical Residency and Oncology Fellowship at Johns Hopkins University. Dr. Pardoll has published over 250 papers as well as over 20 book chapters on the subject of T cell immunology and cancer vaccines. He has served on the editorial boards of the *Journal of the National Cancer Institute* and *Cancer Cell*, and has served as a member of scientific advisory boards for the Cancer Research Institute, the University of Pennsylvania Human Gene Therapy Gene Institute, Biologic Resources Branch of the National Cancer Institute, Harvard-Dana Farber Cancer Center, Cerus Corporation, Global Medical Products Corporation, Genencor Corporation, CellGenesys Corporation, Mojave Therapeutics, the American Association of Clinical Oncology and the American Association of Cancer Research. Dr. Pardoll has made a number of basic advances in Cellular Immunology, including the discovery of gamma - delta T cells, NKT cells and interferon-producing killer dendritic cells. Over the past two decades, Dr. Pardoll has studied molecular aspects of dendritic cell biology and immune regulation, particularly related to mechanisms by which cancer cells evade elimination by the immune system. He is an inventor of a number of immunotherapies, including GVAX cancer vaccines and Listeria monocytogenes based cancer vaccines.

Slides and pre-test questions were not available at time of printing.

# Faculty ~ Drew M. Pardoll, MD, PhD

Notes	

# **Antibody Based Immunotherapy**

### Robert J. Kreitman, MD National Institutes of Health

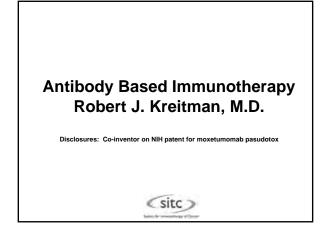
Dr. Kreitman received his MD from Ohio State University in 1985 and trained in Internal Medicine at Duke University Medical Center from 1985 to 1988. He completed a fellowship in Medical Oncology at the National Institutes of Health, where he has remained working in the development of new recombinant biologic therapy for cancer. He now is Chief of the Clinical Immunotherapy Section of the Laboratory of Molecular Biology in the National Cancer Institute. He directs both clinical and laboratory research teams testing and developing recombinant immunotoxins for hematologic malignancies. He also studies the biology of hairy cell leukemia, which is particularly sensitive to immunotoxins, and directs clinical trials of these and other agents for hairy cell leukemia, in both newly diagnosed and relapsed disease.

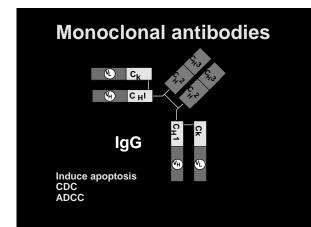
### **Pre-Test Questions**

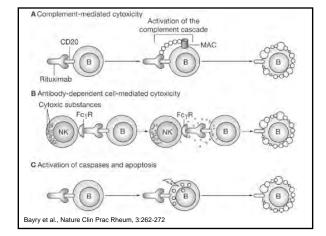
Antibody Based Immunotherapy

I. Which unlabeled MAb is used to treat Paroxysmal nocturnal hemoglobinuria?:

- A. Cetuximab
- B. Alemtuzumab
- C. Eculizumab
- D. Denosumab
- 2. What is the mechanism of killing for protein toxins?:
- A. Inhibition of protein synthesis
- B. Induction of apoptosis
- C. Thymidine kinase inhibition
- D. Both A and B



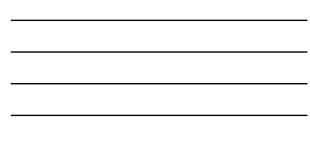


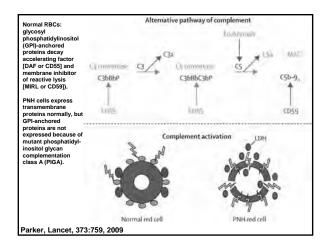


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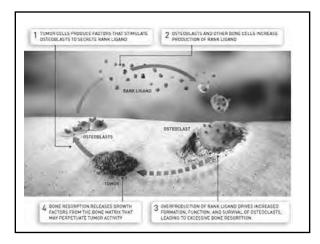
#### **Unlabeled MAbs approved for malignancies**

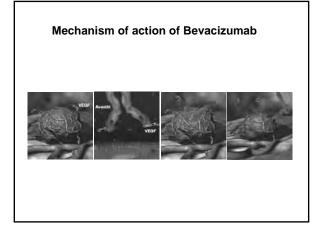
11/97	Rituximab	CD20	Non-Hodgkin's lymphoma (NHL)
10/98	Trastuzumab	Her2	Metastatic breast cancer (Gastric 10/10)
5/01	Alemtuzumab	CD52	B-cell chronic lymphocytic leukemia (CLL)
2/04	Bevacizumab	VEGF	Metastatic colorectal cancer (RCCA 7/09)
2/04	Cetuximab	EGFR	Metastatic colorectal cancer (EGFR+)
9/06	Panitumumab	EGFR	Colorectal cancer
3/07	Eculizumab	C5	Paroxysmal nocturnal hemoglobinuria (HUS 9/11)
10/09	Ofatumumab	CD20	CLL
11/10	Denosumab	RANKL	Prevention of SREs from bone metastases
3/11	Ipilimumab	CTLA4	Melanoma

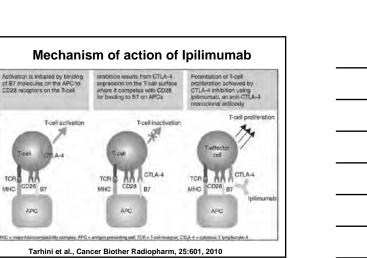


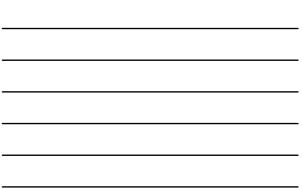


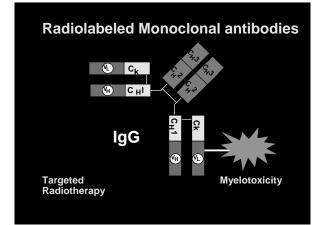




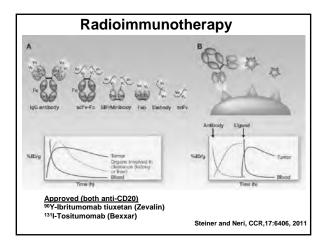


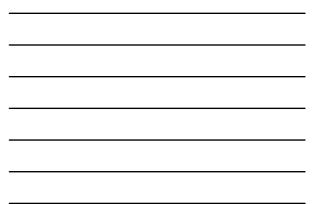






MHC



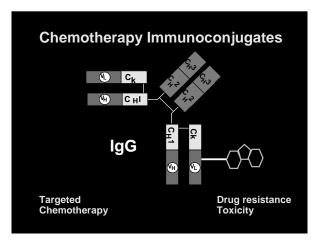


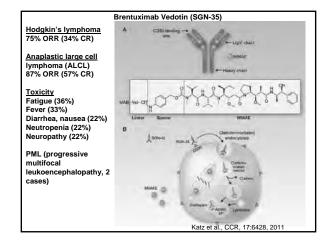
### Radioimmunotherapy in development

<sup>131</sup> I-chTNT-1/B	Tumor necrosis therapy	
<sup>131</sup> I-BC8	Anti-CD45	
<sup>177</sup> Lu-J591	Anti-PSMA external domain	
131I-Metuximab	Anti-HAb18G/CD147	
177Lu-DOTA-cG250	Anti-G250 antigen	
<sup>131</sup> I-3F8	Anti-GD2 ganglioside	
<sup>131</sup> I-L19	Fibronectin ED-B domain	
<sup>131</sup> I-F16	Tenascin-C A1 domain	
90Y-LL2	Anti-CD22	
90Y-biotin/BC4-avidin(Pretargeted)		
<sup>90</sup> Y-hPAM4	anti-MUC1	

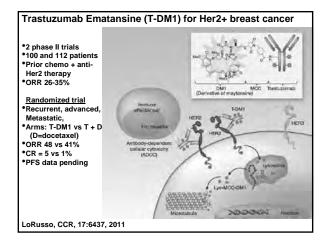
Brain tumors, solid tumors Acute myeloid leukemia Prostate cancer Hepatocellular cancer Renal cancer Medullo/neuroblastoma NSCLC, heme tumors Heme & solid tumors FL, NHL, ALL Glioma, NHL Pancreatic cancer

Steiner and Neri, CCR,17:6406, 2011

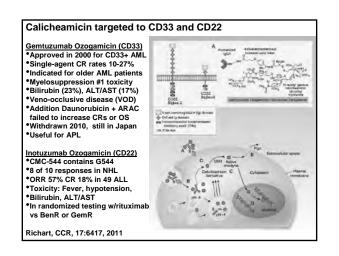


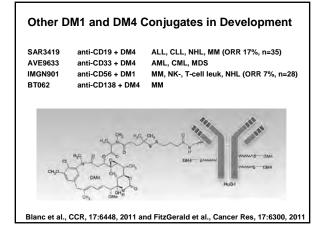




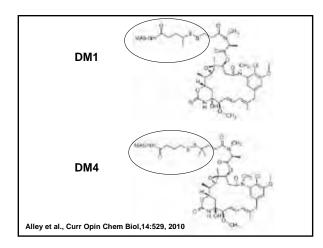




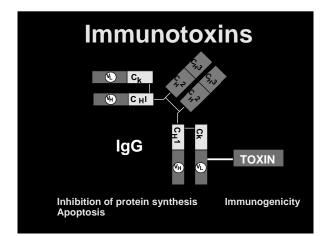


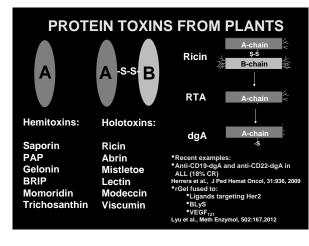




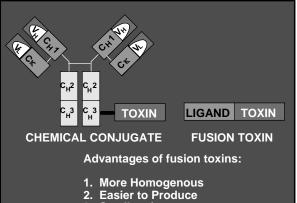




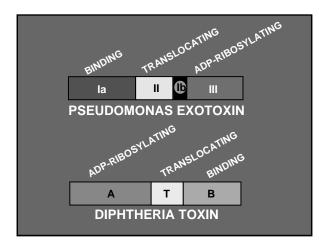




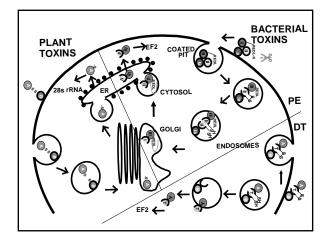




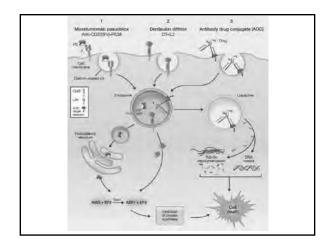
3. Smaller size



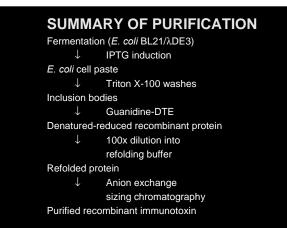






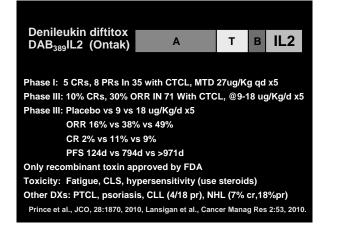


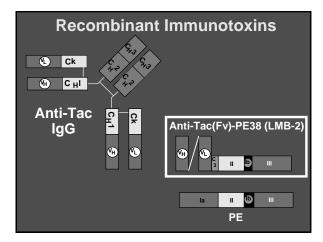




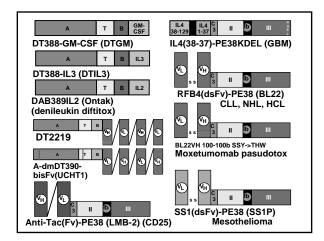
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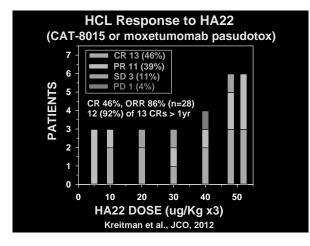




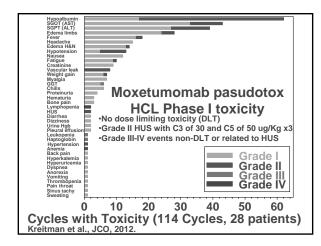




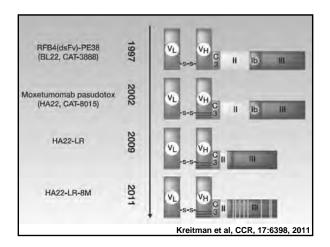














 Moxetumomab

 pasudotox: Median 8 

 fold more cytotoxic

 than BL22

 HA22-LR: Median 16 

 fold more cytotoxic

 than moxetumomab

 pasudotox

 HA22-LR: Median 16 

 fold more cytotoxic

 than moxetumomab

 pasudotox

 HA22-LR-8M: Activity

 similar to HA22-LR

 Kreitman et al, CCR, 17:6398, 2011



#### is and it

Conclusions

- The most common Mab-based therapies for cancer are unlabeled MAbs, but the 3 classic mechanisms of action, 1) apoptosis, 2) CDC and 3) ADCC only work for a minority of targets.
- Radioimmunotherapy is effective in CD20+ NHL (early and relapsed), but its use and development of new agents have been limited.
- Antibody-drug conjugates have used the high cytotoxicity of auristatins, maytansenoids, and calicheamicin to produced several clinically useful conjugates for both solid and hematologic tumors.
- Targeted protein toxins exploit the most powerful killing agents known, able to kill cells catalytically with as few as 1 molecule.
   Efficacy is limited by immunogenicity particularly in solid tumors.
- Denileukin diftitox is a targeted toxin fusion protein approved for CTCL, with some efficacy demonstrated in PTCL, CLL and NHL.
- Recombinant immunotoxins have demonstrated clinical efficacy, particularly moxetumomab pasudotox for HCL and SS1P for mesothelioma. Immunogenicity may be reduced by humanizing the toxin and by combination with chemotherapy.