



# Translational development of Therapeutic Lymphoma Vaccines

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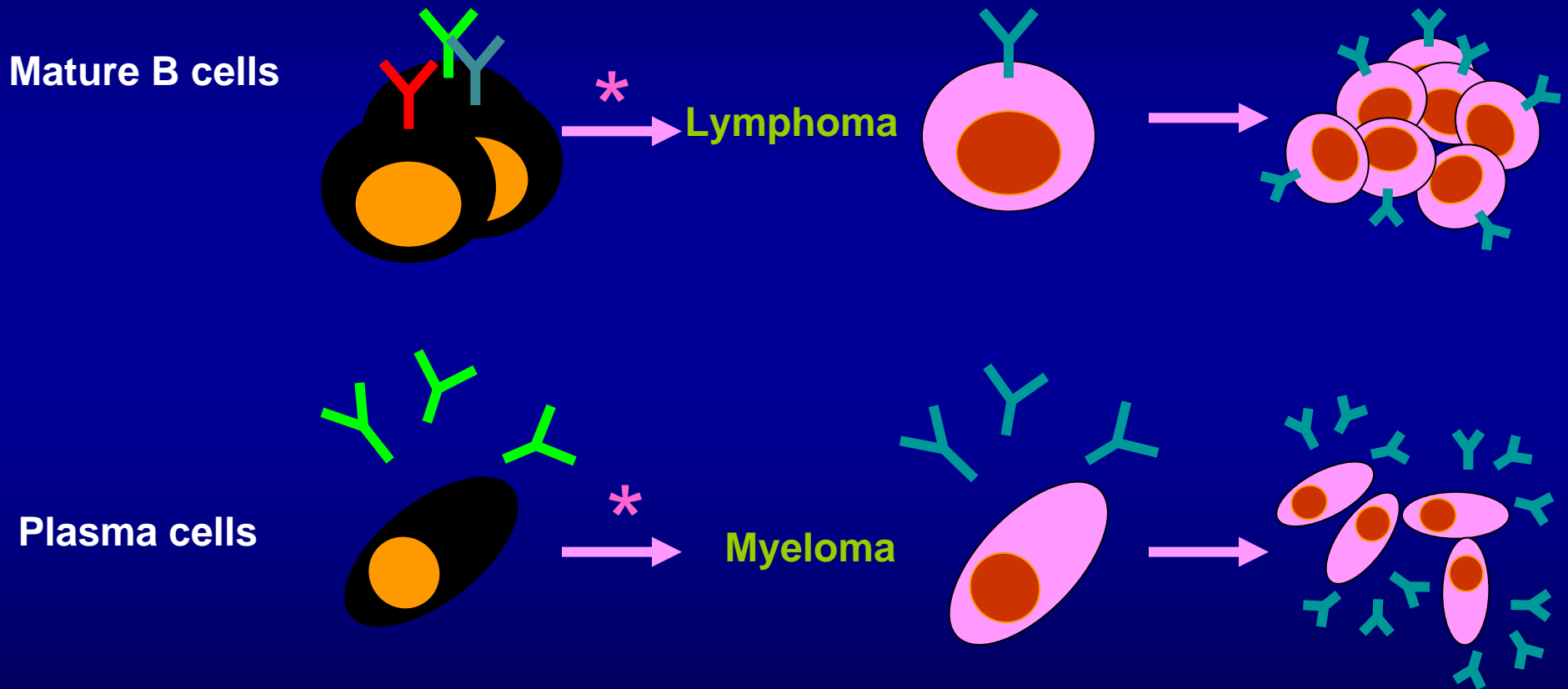
# CME Disclosures

- Biovest International (consultant)
- Antigenics (consultant)
- Xeme Biopharma, Inc. (stockholder)
- Celgene (research support)

# Types of vaccines

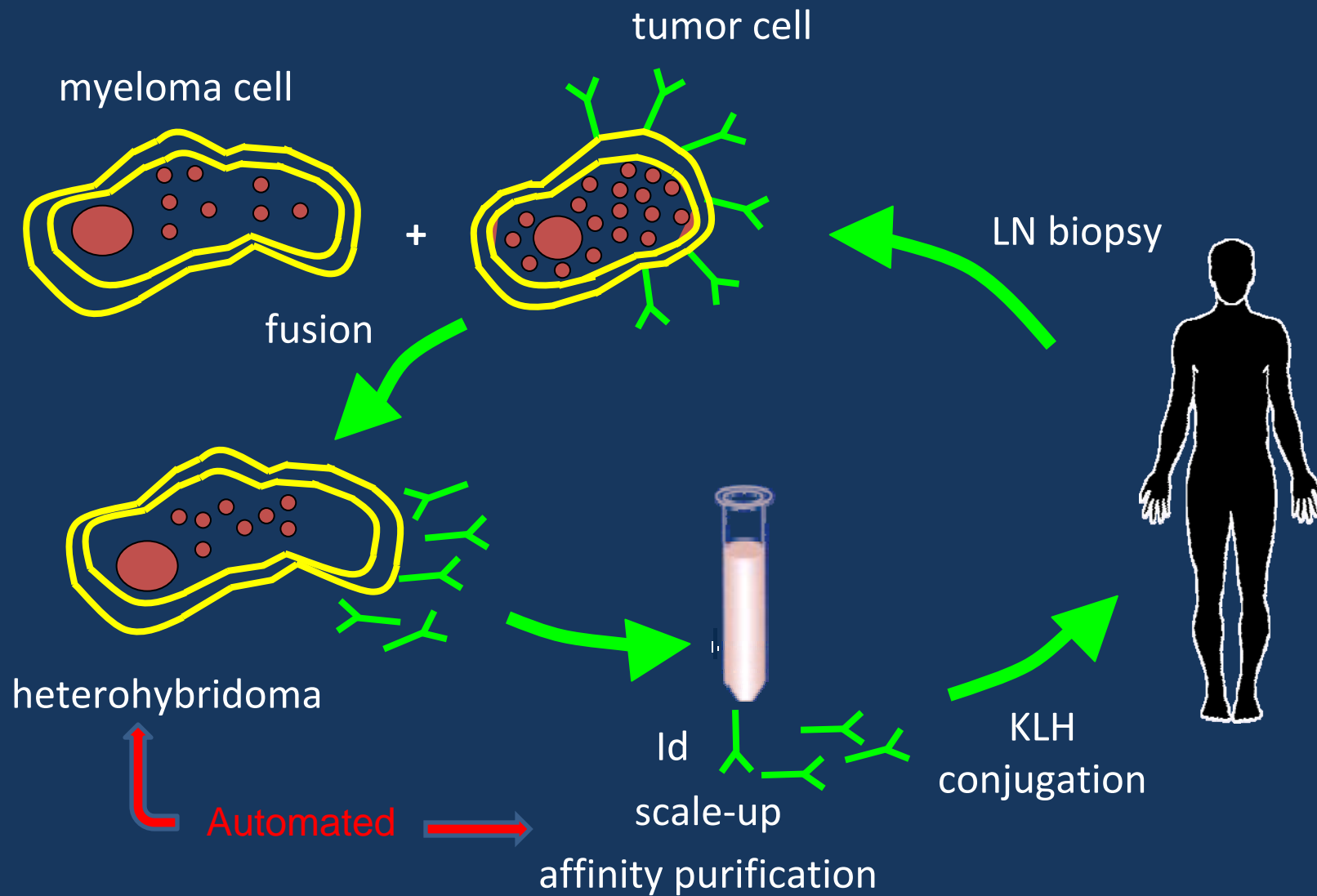
- Prevention
- Secondary prevention
- Therapeutic (e.g. Provenge)

# Idiotype (Id): A clonal marker and model tumor antigen



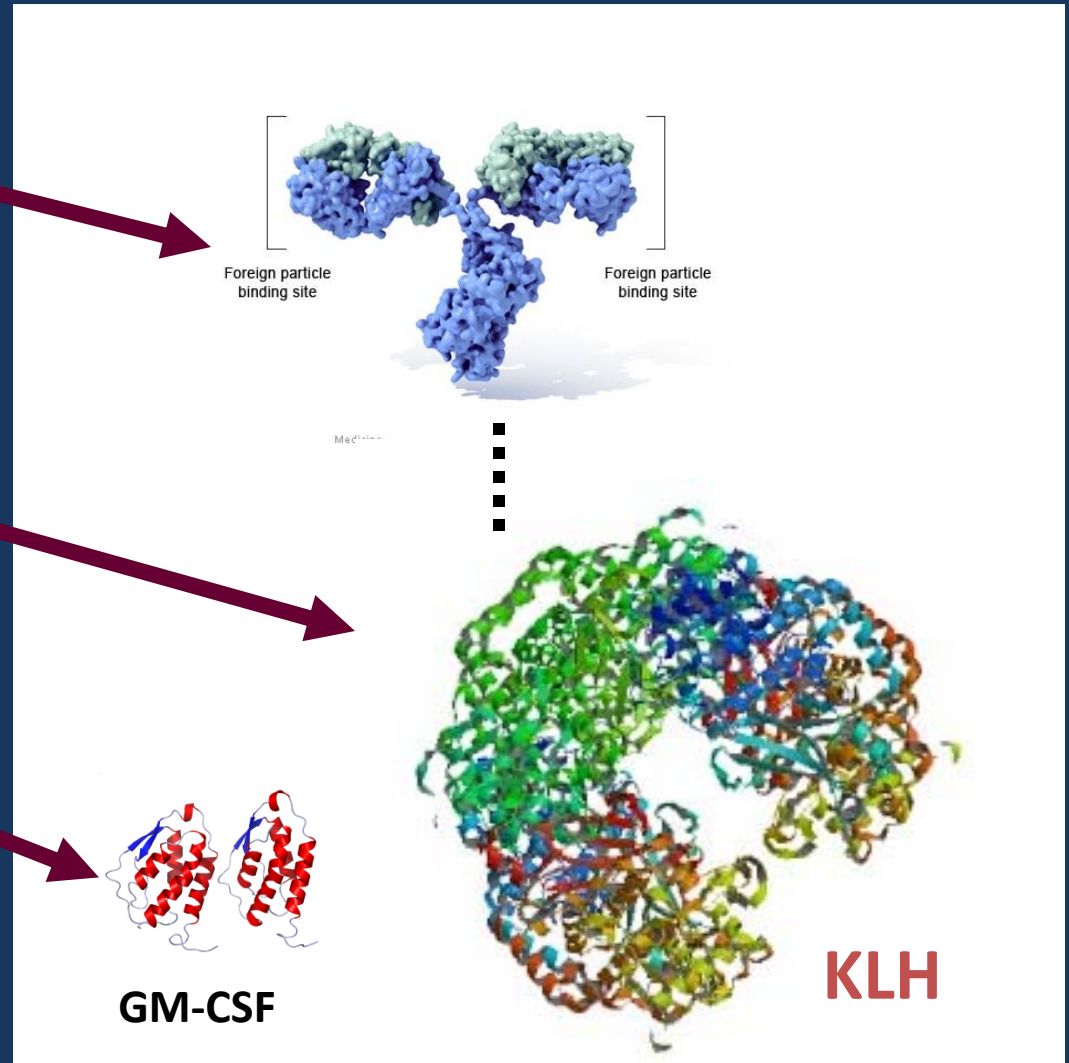
\* = malignant transformation

# Personalized Human Vaccine Production












# Vaccine components

- Idiotypic of the Ig **antigen** of a B-cell lymphoma can be used as a tumor-specific immunogen
- Keyhole lympet hemocyanin (KLH) **carrier** serves as an immune stimulant
- GM-CSF administered concurrently at site of injection as an **adjuvant**



# Id Vaccination: Early Phase Clinical Trials

<u>Publication</u>	<u>Vaccine</u>	<u>No. Patients</u>	<u>Histology</u>	<u>Phase I</u>	<u>Phase II</u>	<u>Phase III</u>
Kwak, NEJM 1992 Hsu, Blood 1997	Id-KLH + Adjuvant	41	FL			
Bendandi, Nat Med 1999	Id-KLH + GMCSF	20	FL			
Timmerman, Blood 2002	Id - DC	35	FL			
Timmerman, Clin Can Res 2002	Plasmid DNA	12	FL			
Barrios, Hematologica 2002	Id-KLH + adjuvant	9	FL			
Neelapu, Nat Med 2005	Id – KLH + GMCSF	26	MCL			
Inoges, JNCI 2006	Id – KLH + GMCSF	25	FL			
Bertinetti, Can Res 2006	Fab + MF59 + GMCSF	18	various			
Redfern, JCO 2006	Id – KLH + GMCSF	31	indolent			

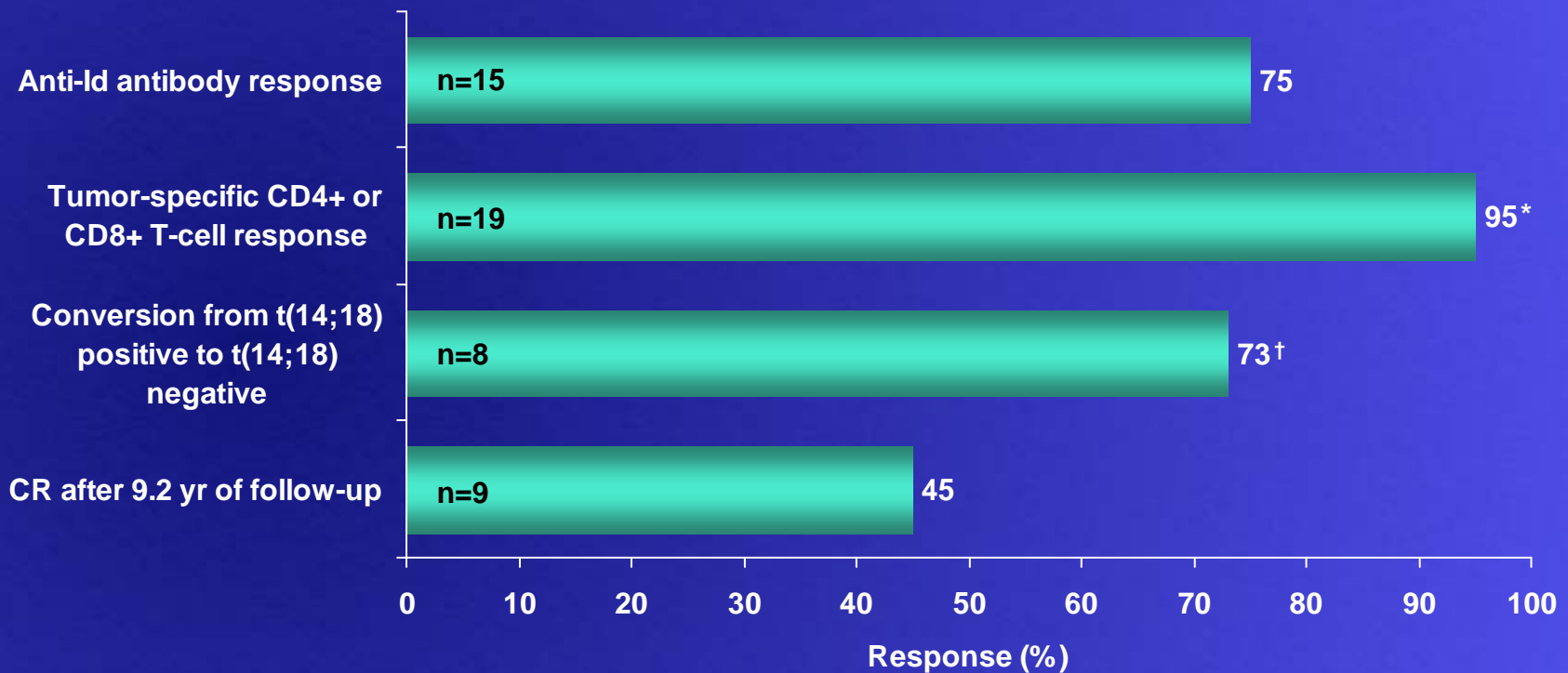
# First IND-supported Phase II Trial of Id Vaccine During Clinical Remission (NCI)

- Single-arm prospective study in 20 patients with follicular lymphoma
- Homogeneous group of patients in first remission after uniform induction chemotherapy \*
- Vaccine treatment in setting of minimal residual disease
- Regimen
  - Started 6 months after completion of chemotherapy
  - Vaccine (Id-KLH 0.5 mg SC day 0 + GM-CSF 100  $\mu\text{g}/\text{m}^2$  SC days 0–3) injected monthly for 5 cycles

\* PACE= prednisone, Adriamycin (doxorubicin), cyclophosphamide, and etoposide.



# First IND-supported Phase II Vaccine Trial During 1<sup>st</sup> Complete Remission (CR): Results



- DFS after 9.2 yr of follow-up

Bendandi et al. *Nat Med.* 1999;5:1171-1177.

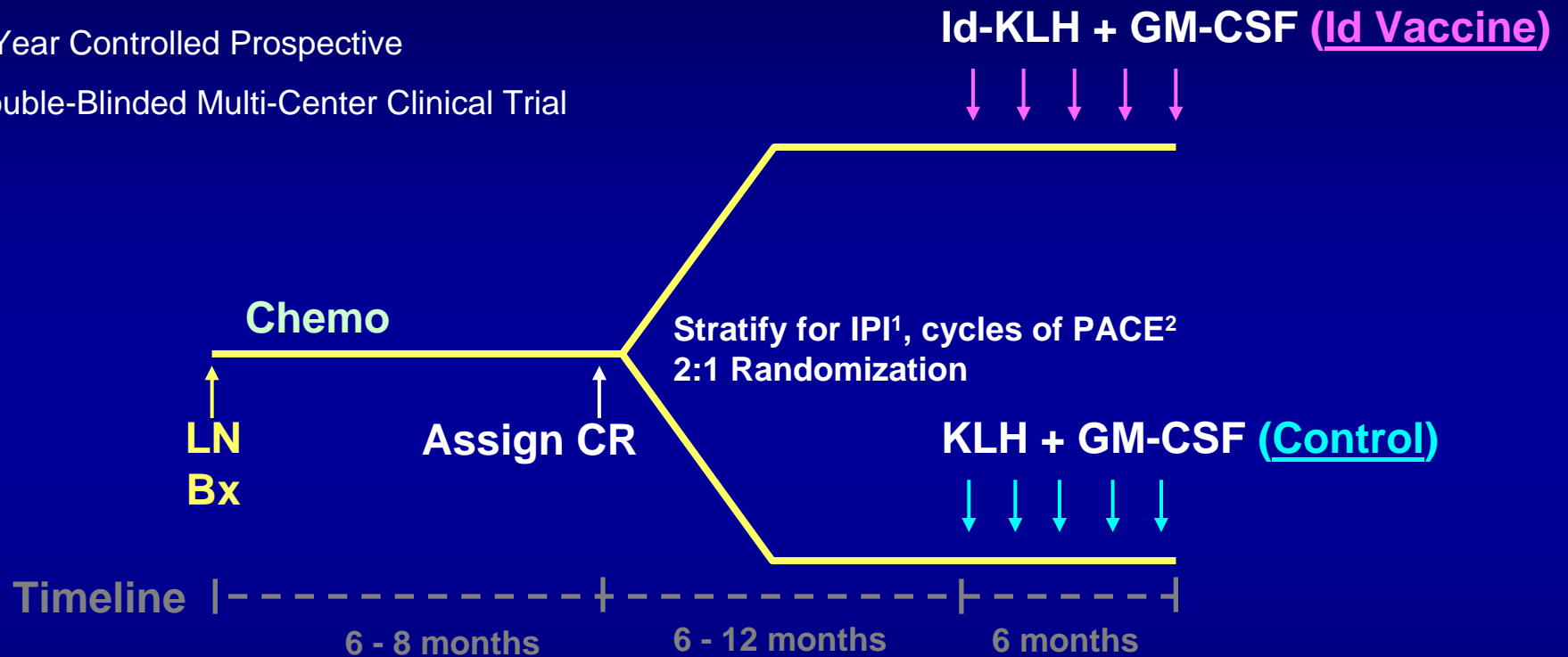
# NCI/Biovest Phase III Vaccine Study Objectives

- Primary Objective:
  - To determine whether Id vaccine prolongs disease free survival (DFS) compared to control in patients with follicular lymphoma in complete remission (CR) after uniform standard chemotherapy
- Secondary Objectives:
  - Evaluate safety of Id vaccine
  - Immune response and biomarker assessment

# NCI/Biovest Phase III Vaccine Study Design

8-Year Controlled Prospective

Double-Blinded Multi-Center Clinical Trial



- Primary endpoint: disease-free survival
- 14 sites enrolled patients from 2000-2007

<sup>1</sup>low, low-intermediate or high-intermediate, high groups  
<sup>2</sup> < 8 or ≥ 8 cycles

# Statistical Design

## Two Prospective Efficacy Analyses

- Intent-to-Treat Analysis (ITT) compared DFS in treatment arms for all randomized pts
- Modified Intent-to-Treat Analysis (mITT) compared DFS in treatment arms for randomized pts who remained in CR/CRu and received either Id- or control vaccine

## Vaccine Isotype Subset Analysis (unplanned)

- DFS by vaccine isotype (IgM or IgG) for patients receiving Id vaccine

# Patient Flow

**Enrollment**

6 - 8 months

Enrolled (n=234)

Excluded  
(n=57)

**Stratify / Randomize**  
(n=177)

Randomized (n=177)

**Post-Induction Recovery  
Period (6-12m)**  
**Relapse Not Vaccinated  
with Id or Control**  
(n=60)

6 - 12 months

**Id-vaccine arm**  
(n=118)

**Control arm (n=59)**

Relapse (n=38)  
Other (n=4)

Relapse (n=17)  
Other (n=1)

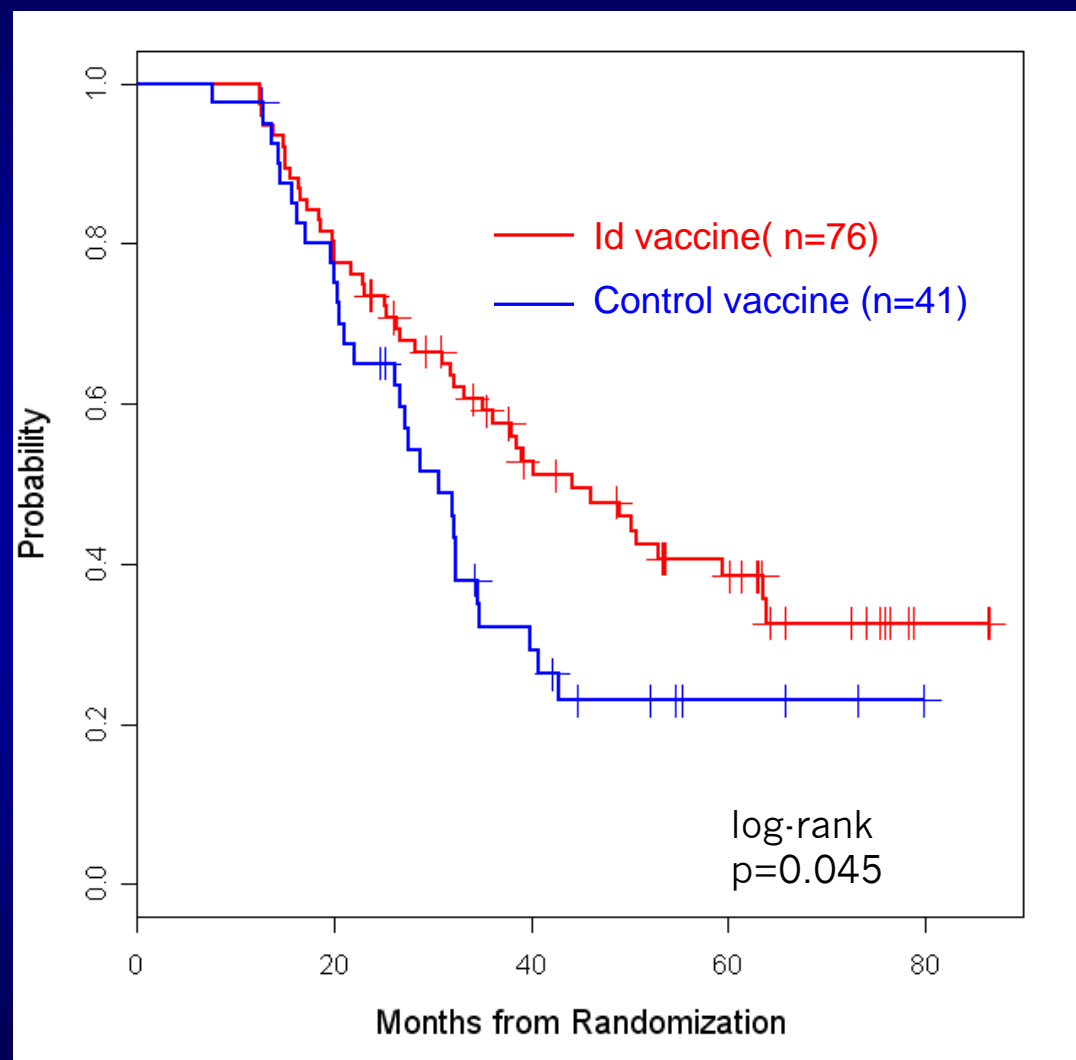
**Randomized/Vaccinated**  
(n=117)

6 months

**Received Id-vaccine**  
(n=76)

**Received Control**  
(n=41)

# Disease Free Survival from Randomization (mITT)



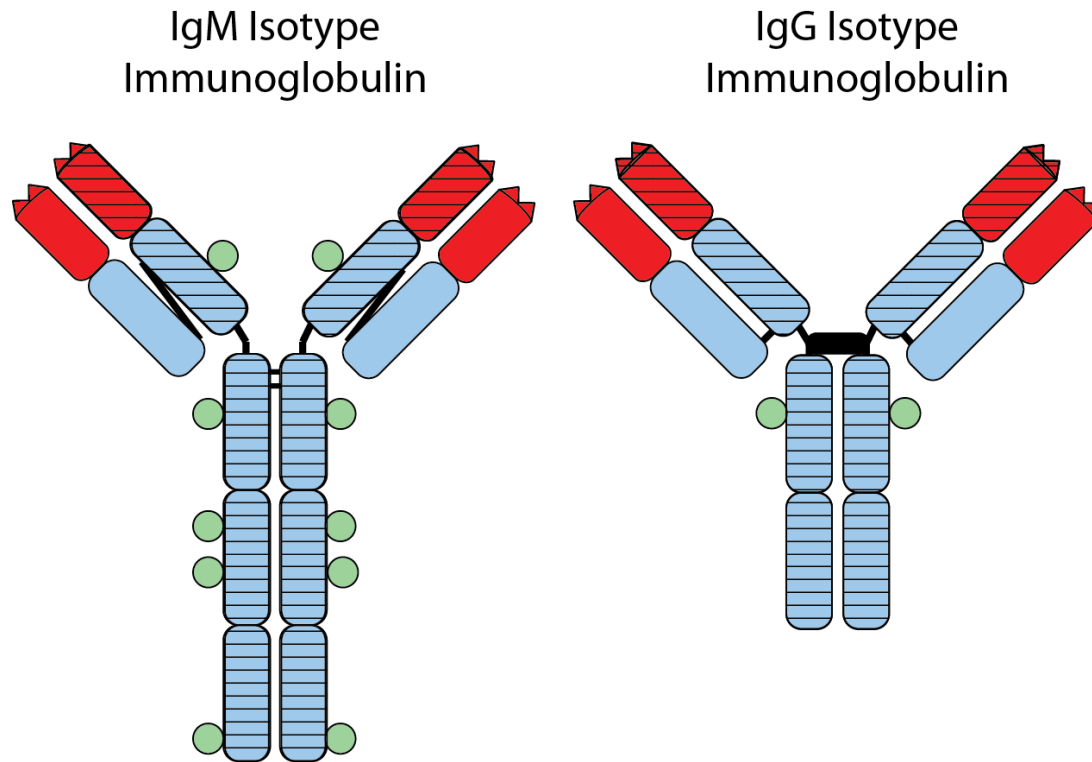
Median Follow-up  
56.6 mo (range 12.6 – 89.3)

Median DFS  
Id vaccine = 44.2 mo  
Control vaccine = 30.6 mo

Events  
Id vaccine = 44  
Control vaccine = 29

Cox PH Model  
HR = 0.62; [95% CI:  
0.39, 0.99] (p=0.047)

# FL surface Ig can be either IgG or IgM Isotype



 Light Chain

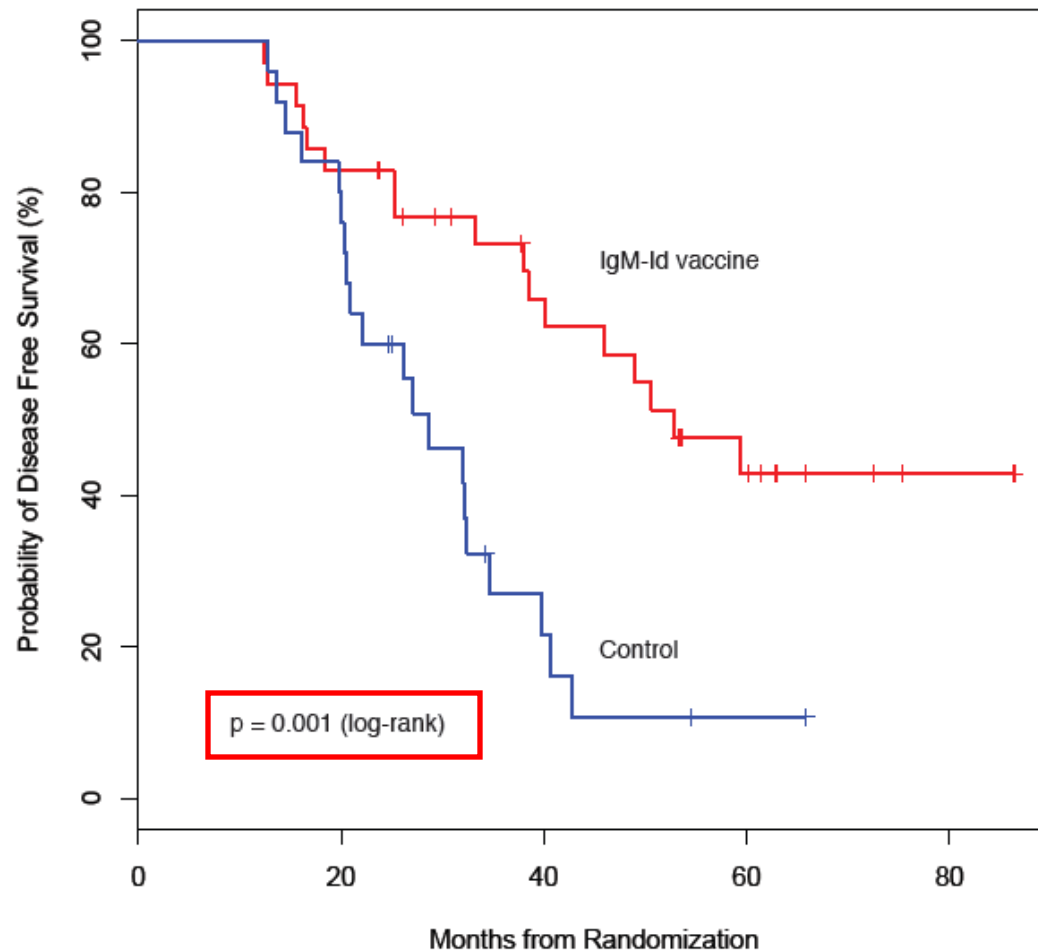
 Heavy Chain

 Constant Region

 Variable Region

 Carbohydrate

# Disease Free Survival for Patients with IgM-isotype lymphomas (n = 60)



## Median Follow-up

56.6 mo (range 12.6 – 89.3)

## N = 60

IgM-IgD vaccine N = 35

Control N = 25

## Median DFS

IgM-IgD vaccine = 52.9 mo

[95% CI:40.2,NA]

Control = 28.7 mo

[95% CI:21.0,39.8]

## Events

IgM-IgD vaccine = 17

Control = 20



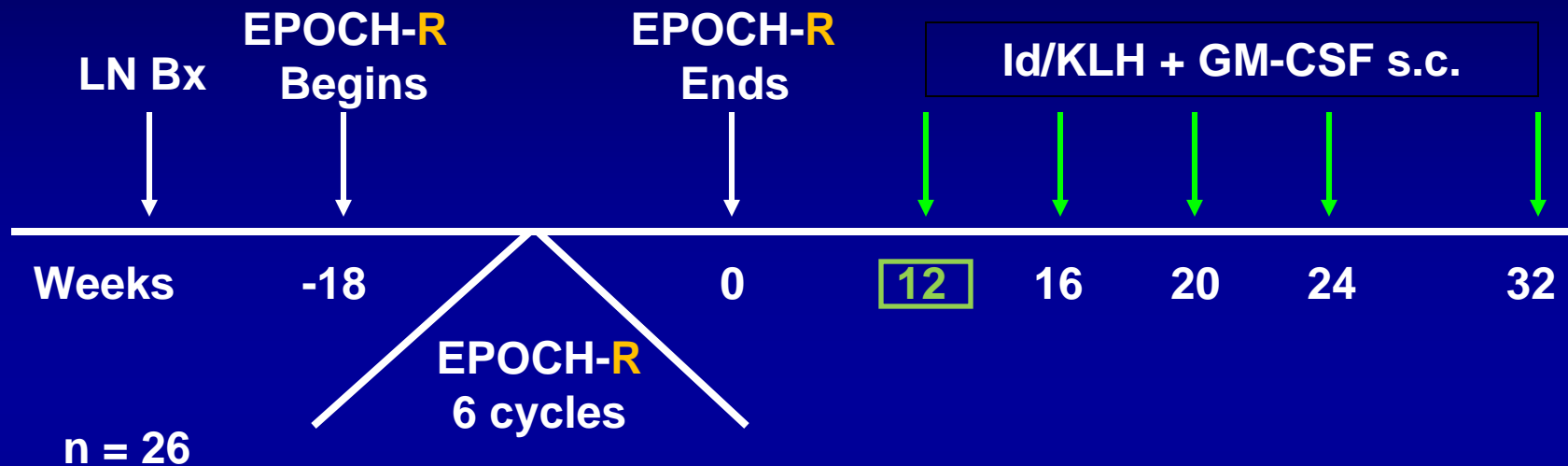
## Positive Phase III trial: Potential challenges to “Delivery”

- Patient accrual stopped early/treatment effect apparent only in modified ITT
- requirement for biopsy and personalized manufacture
- optimal treatment requires sustained complete remission

# Future directions

- Identify/stratify the subgroup of patients most likely to benefit from this vaccine (e.g. MRD?; predictive biomarkers) and determine the mechanism underlying the observed clinical effects
- Make further improvements in the vaccine product (e.g. 2<sup>nd</sup> generation DNA fusion vaccines)
- Additional clinical trials combining this vaccine with anti-CD20 mAb (rituximab)-containing chemotherapy regimens

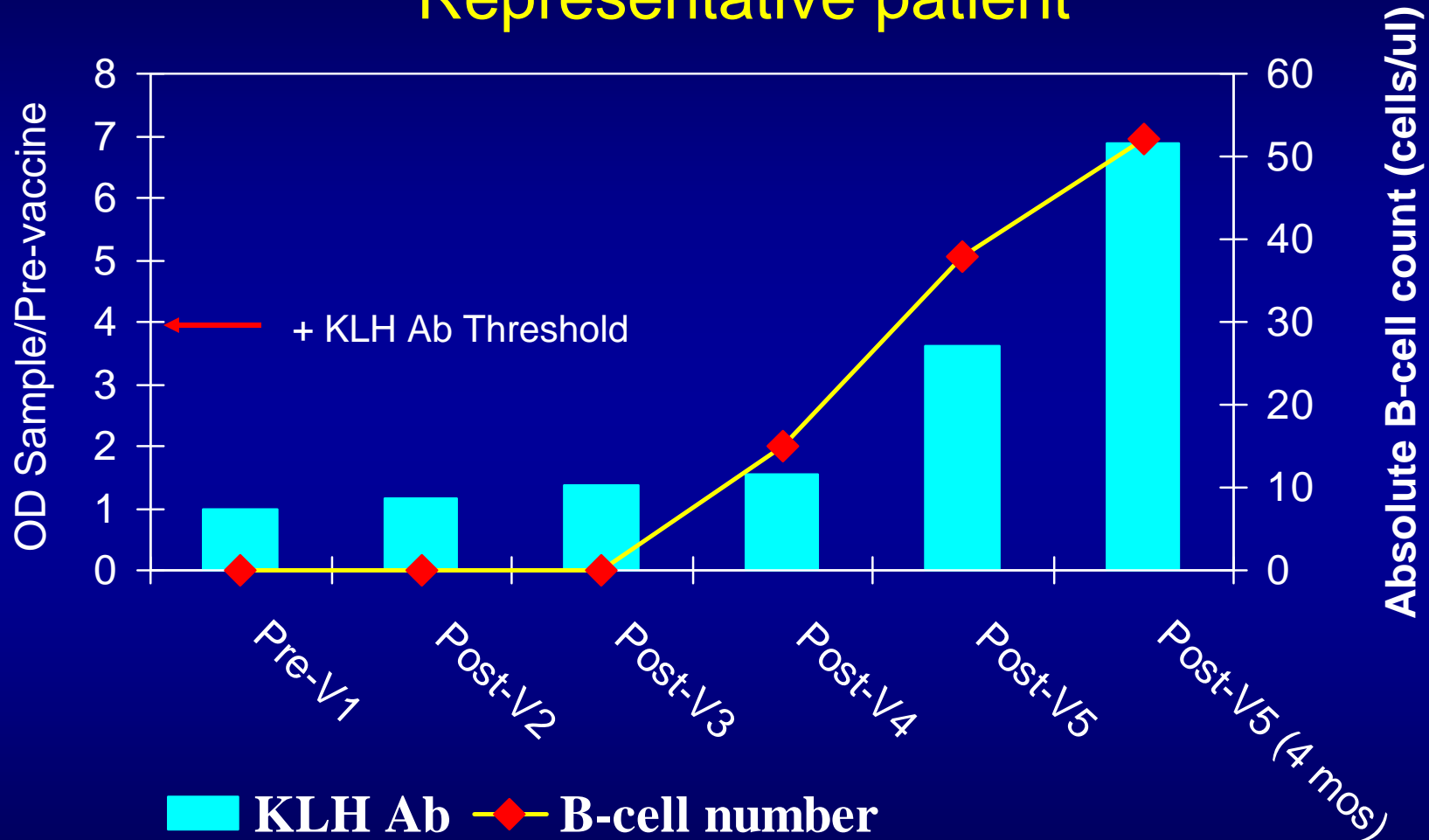
# Mantle cell lymphoma clinical trial schema



- EPOCH-R – **Rituximab** on day 1
  - Continuous iv infusion of Etoposide, Doxorubicin and Vincristine over 96 hrs (days 1-5)
  - Cyclophosphamide iv on day 5
  - Prednisone days 1-5.
- Id-KLH+GM-CSF – 0.5 mg autologous Id + 0.5 mg KLH + 100  $\mu\text{g}/\text{m}^2$  GM-CSF

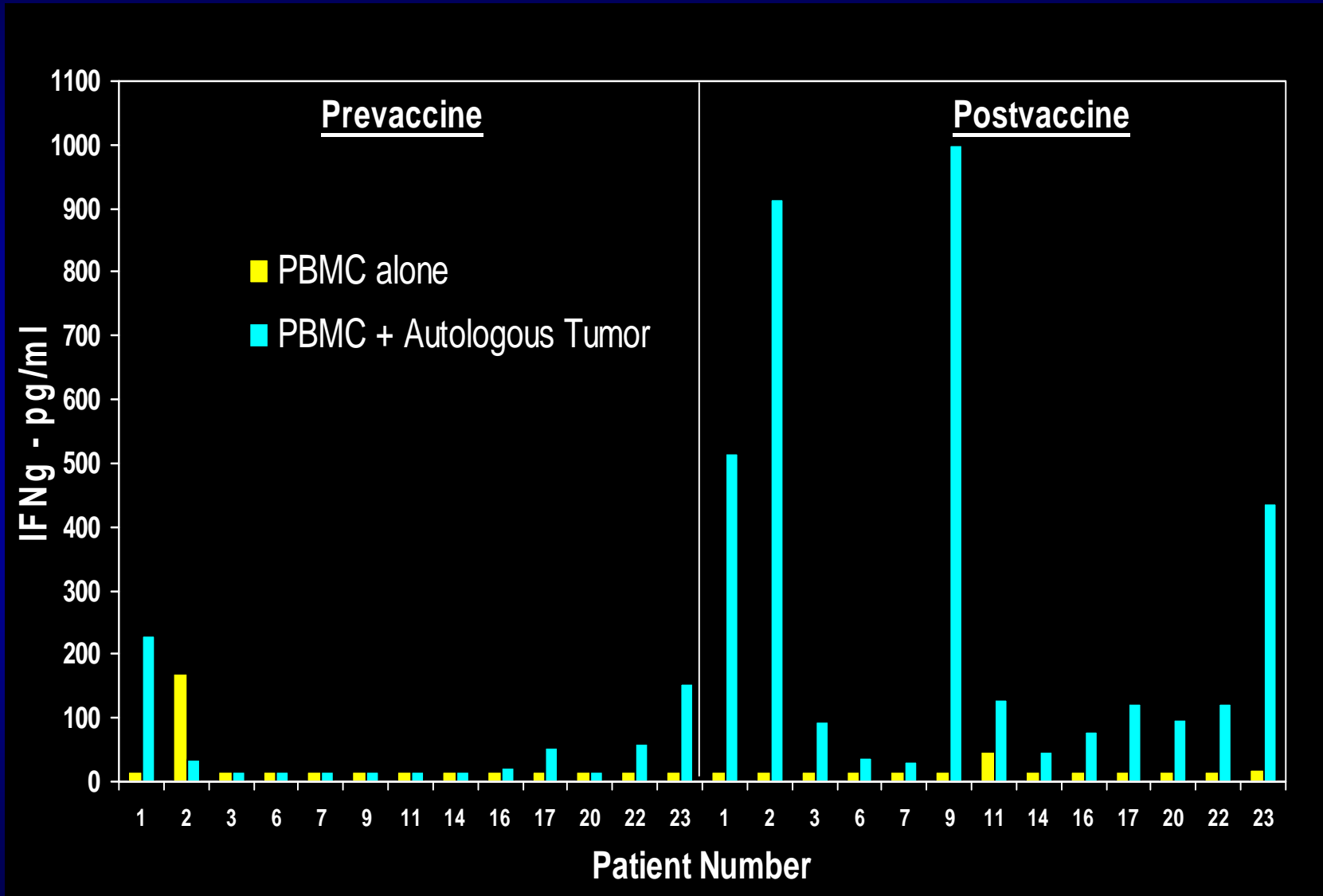
# KLH Ab and B-cell recovery

## Representative patient



- 17/23 (74%) patients were positive for anti-KLH antibody.

# Response to autologous tumor - IFNg



• 20/23 (87%) patients had a positive T cell response by cytokine induction assay.

## Conclusions – MCL vaccine study

- Antibody responses to KLH carrier were delayed but present in 17/23 (74%) patients, and Id-specific antibody responses were detected in 8/23 (35%) patients.
- Tumor-specific T cell responses were detected in 20/23 (87%) patients following rituximab containing chemotherapy regimen
- These results suggest that severe B-cell depletion does not impair induction of T-cell responses

# Conclusions

- Administration of vaccine following immunosuppressive chemotherapy is feasible (duration of recovery period required unknown)
- In a Phase III trial vaccination improved disease-free survival (DFS) following chemotherapy in patients already in complete remission at time of vaccination (secondary prevention)
- The clinical effect of the vaccine is validated by the subgroup analysis of patients expressing the IgM isotype
- Long-term clinical experience with idiotype protein vaccines demonstrates low toxicity profile, making it ideal for consolidation or maintenance therapy

# Factors which may explain differences in outcomes between randomized Phase III studies

Critical variable	NCI Phase 2	NCI/Biovest	Genitope	Favrille
<b>Pre-requisite to vaccine</b>	CR only	CR only	CR or PR	CR or PR or SD
<b>Induction therapy</b>	PACE	PACE	CVP	rituximab
<b><u>Id protein</u></b>	Native protein from hybridoma	Native protein	Recombinant protein	Recombinant protein
<b>Isotype of Id-vaccine</b>	IgM and IgG (tumor-matched)	IgM and IgG	IgG	IgG
<b><u>Stratification</u></b>		Prognostic index; # cycles chemotherapy		

Schuster SJ, et al. *J Clin Oncol.* 29:2787, 2011 ;

Freedman A, et al. *J Clin Oncol.* 27:3036, 2009; Levy, et al. *AACR Meeting Abstracts.* 2008: LB-204



# Phase III Clinical Trial Sites

- National Cancer Institute
- Duke University Medical Center
- Emory University  
Winship Cancer Institute
- H. Lee Moffitt Cancer Center
- New England Medical Center
- New York University  
Medical Center
- Virginia Oncology Associates
- North Mississippi Hem & Oncology Associates
- Northwestern University
- St. Mary's/Duluth Clinic (SMDC) Health System
- University of Pennsylvania
- The University Of Texas MD Anderson Cancer Center
- Westchester Oncology & Hematology Group
- Southern Oncology Research